

---

Subalgebras of the Algebra of all Complex-Valued Continuous Functions on the Circle

Author(s): John Wermer

Source: *American Journal of Mathematics*, Vol. 78, No. 2 (Apr., 1956), pp. 225-242

Published by: The Johns Hopkins University Press

Stable URL: <http://www.jstor.org/stable/2372513>

Accessed: 01/12/2009 00:51

---

Your use of the JSTOR archive indicates your acceptance of JSTOR's Terms and Conditions of Use, available at <http://www.jstor.org/page/info/about/policies/terms.jsp>. JSTOR's Terms and Conditions of Use provides, in part, that unless you have obtained prior permission, you may not download an entire issue of a journal or multiple copies of articles, and you may use content in the JSTOR archive only for your personal, non-commercial use.

Please contact the publisher regarding any further use of this work. Publisher contact information may be obtained at <http://www.jstor.org/action/showPublisher?publisherCode=jhup>.

Each copy of any part of a JSTOR transmission must contain the same copyright notice that appears on the screen or printed page of such transmission.

JSTOR is a not-for-profit service that helps scholars, researchers, and students discover, use, and build upon a wide range of content in a trusted digital archive. We use information technology and tools to increase productivity and facilitate new forms of scholarship. For more information about JSTOR, please contact [support@jstor.org](mailto:support@jstor.org).



The Johns Hopkins University Press is collaborating with JSTOR to digitize, preserve and extend access to *American Journal of Mathematics*.

# SUBALGEBRAS OF THE ALGEBRA OF ALL COMPLEX-VALUED CONTINUOUS FUNCTIONS ON THE CIRCLE.\*

By JOHN WERMER.<sup>1</sup>

---

**1. Introduction.** Let  $\mathbf{R}$  be the algebra of all real valued continuous functions on the circle and let  $\mathbf{C}$  be the algebra of all complex-valued continuous functions on the circle.

The subalgebras we are concerned with are assumed to contain the constant 1.

A fundamental theorem of Stone yields that any uniformly closed subalgebra  $\mathbf{R}'$  of  $\mathbf{R}$  which separates points (i.e. which is such that if  $\lambda_1 \neq \lambda_2$  then there exists an  $f$  in  $\mathbf{R}'$  with  $f(\lambda_1) \neq f(\lambda_2)$ ) coincides with  $\mathbf{R}$ .

For the algebra  $\mathbf{C}$  the situation is quite different. There exists a large class of proper subalgebras of  $\mathbf{C}$  which separate points. The problem of classifying these subalgebras leads to the following question: What are the *maximal* subalgebras of  $\mathbf{C}$ ?

A closed proper subalgebra  $\mathbf{M}$  of  $\mathbf{C}$  separating points is called *maximal* if there exists no closed subalgebra  $\mathbf{M}'$  with  $\mathbf{M} \subsetneq \mathbf{M}'$  and  $\mathbf{M}' \neq \mathbf{M}$ ,  $\mathbf{M}' \neq \mathbf{C}$ .

In [1] and [2] the author has given examples of certain maximal subalgebras. Here we shall exhibit a large class of maximal subalgebras, associated with Riemann surfaces.

Let  $\mathfrak{F}$  be a Riemann surface,<sup>2</sup>  $\mathfrak{M}$  a region on  $\mathfrak{F}$  bounded by a simple closed analytic curve  $\gamma$ , such that  $\mathfrak{M} + \gamma$  is compact.  $\mathfrak{M}$  then has finite genus  $p$ . Since  $\gamma$  is topologically a circle, we may regard  $\mathbf{C}$  as the space of continuous complex-valued functions on  $\gamma$ . We shall use  $\|f\|$  to denote  $\max_{\lambda \in \gamma} |f(\lambda)|$ .

*Definition 1.*  $\mathfrak{A}$  is the subalgebra of  $\mathbf{C}$  consisting of all  $f$  which may be continued into  $\mathfrak{M}$  to be analytic on  $\mathfrak{M}$  and continuous on  $\mathfrak{M} + \gamma$ .

By the maximum principle for  $\mathfrak{M}$ ,  $\mathfrak{A}$  is a closed subalgebra of  $\mathbf{C}$ . Also

---

\* Received September 10, 1954.

<sup>1</sup> I am indebted to Professor M. Heins for a number of valuable suggestions which I have used in some of the proofs.

<sup>2</sup> For definitions and basic facts of the theory of Riemann surfaces we refer the reader to R. Nevanlinna, "Uniformisierung," Springer Verlag, 1953.

$\mathfrak{A}$  separates points on  $\gamma$ , since, given  $p, q$  on  $\gamma$ ,  $p \neq q$ , we can find some  $f$  in  $\mathfrak{A}$  with  $f(p) = 0$ ,  $f(q) \neq 0$ .

The main object of this paper is to prove:

**THEOREM 2.**  $\mathfrak{A}$  is a maximal subalgebra of  $\mathbf{C}$ .

When  $\mathfrak{F}$  is the plane and  $\gamma$  is the unit circle  $|\lambda| = 1$ ,  $\mathfrak{A}$  becomes the algebra generated by the functions 1 and  $\lambda$ . This is the case discussed in [1].

In Section 2 we prove Theorem 1 in which we give the form of the general linear functional on  $\mathbf{C}$  which annihilates  $\mathfrak{A}$ . In Section 3 we use Theorem 1 to prove Theorem 2. In Section 4 we find when two of our maximal subalgebras are isomorphic.

**2.** Fix  $\zeta$  in  $\mathfrak{M}$ ; let  $G_\zeta$  denote the Green's function for  $\mathfrak{M}$  singular at  $\zeta$ . Then  $G_\zeta$  is harmonic in  $\mathfrak{M}$  except at  $\zeta$ ;  $G_\zeta$  vanishes on  $\gamma$ ; for some fixed local parameter  $z$  at  $\zeta$ ,  $G_\zeta(z) + \log |z - \zeta|$  is regular neighborhood of  $\zeta$ .

Let  $H_\zeta$  be the (multiple-valued) conjugate function of  $G_\zeta$ . Since  $\gamma$  is an analytic curve,  $G_\zeta + iH_\zeta$  is analytic everywhere on  $\gamma$ .

Set  $W_\zeta(z) = -d\{G_\zeta(z) + iH_\zeta(z)\}/dz$ . Then  $W_\zeta$  is a "covariant" on  $\mathfrak{M}$ , as defined in [3], p. 102.  $W_\zeta$  is analytic on  $\mathfrak{M}$  except for a simple pole at  $\zeta$ , with residue 1. On  $\gamma$  we denote by  $\omega_\zeta$  the measure  $\frac{1}{2\pi i} W_\zeta(\lambda) d\lambda$ . Then  $\omega_\zeta$  is the harmonic measure for  $\mathfrak{M}$  evaluated at  $\zeta$ . In particular, for any set  $E$  on  $\gamma$ ,  $\omega_\zeta(E)$  is real and non-negative.

Fix some  $\zeta_0$  in  $\mathfrak{M}$ . From now on we shall omit the subscript  $\zeta_0$  when writing  $G_{\zeta_0}$ ,  $H_{\zeta_0}$ ,  $W_{\zeta_0}$  or  $\omega_{\zeta_0}$ . We note that  $W$  has no zero on  $\gamma$ .

**LEMMA 1.**  $W$  has  $2p$  zeros in  $\mathfrak{M}$ , where each zero is counted with its multiplicity.

*Proof.* We choose an analytic parametrization of  $\gamma: \lambda = \lambda(t)$ ,  $0 \leq t \leq 1$ . In any coordinate neighborhood  $U$  of a point of  $\gamma$  with local parameter  $z$ , we define  $z(t) = z(\lambda(t))$ . We can then consider  $W(z(t)) \cdot z'(t)$  in  $U \cap \gamma$ , where the prime indicates differentiation with respect to  $t$ . Direct computation shows that this expression is independent of the choice of local parameter. Then

$$\Delta = \int_0^1 d/dt \{ \log W(z(t)) z'(t) \} dt$$

is well-defined. By a formula given in [3], p. 133, we have

$$\Delta = 2\pi i (B - A - N),$$

where  $B$  is the number of zeros of  $W$  in  $\mathfrak{M}$ ,  $A$  the number of poles, and  $N$  the Euler characteristic of  $\mathfrak{M}$ . On the other hand

$$\Delta = \int_0^1 d/dt \log d/dt - \{G(z(t)) + iH(z(t))\} dt$$

and this equals the variation of  $\log d - \{G(z(t)) + iH(z(t))\}/dt$  over  $0 \leq t \leq 1$ . The properties of  $G$  and  $H$  on  $\gamma$  then yield directly that  $\Delta = 0$ . Thus  $B = A + N$ . But  $A = 1$  and  $N = 2p - 1$ . Hence  $B = 2p$ , as asserted.

Let  $\alpha_1, \dots, \alpha_{2p}$  be a homology basis of closed curves on  $\mathfrak{M}$ .

*Definition 2.* Let  $u(\lambda)$  be a real continuous function on  $\gamma$  and let  $U(\xi)$  be the harmonic function on  $\mathfrak{M}$  with  $U \equiv u$  on  $\gamma$ . Then  $\Phi_\nu(u)$ ,  $\nu = 1, 2, \dots, 2p$ , denotes the period of the conjugate function of  $U$  corresponding to  $\alpha_\nu$ .

*Definition 3.* For  $\mu = 1, \dots, 2p$ ,  $\psi_\mu(\xi)$  is a harmonic function on  $\mathfrak{M}$ , continuous on  $\mathfrak{M} + \gamma$  and twice differentiable on  $\gamma$ , with  $\Phi_\nu(\psi_\mu) = \delta_{\mu\nu}$ .

**LEMMA 2.** *There exist functions  $K_i$ ,  $i = 1, \dots, 2p$ , meromorphic on  $\mathfrak{M}$  and continuous and real valued on  $\gamma$  such that for  $i = 1, \dots, 2p$ ,  $\Phi_i = K_i d\omega$  as functionals, i. e.  $\Phi_i(u) = \int_\gamma u(\lambda) K_i(\lambda) d\omega(\lambda)$ , all  $u$ , and such that  $W(\xi) K_i(\xi)$  is analytic on  $\mathfrak{M}$  for each  $i$ .*

*Proof.* Let  $W$  have the zeros  $z_1, \dots, z_k$  in  $\mathfrak{M}$  of orders  $\nu_1, \dots, \nu_k$ . By Lemma 1,  $\sum_{i=1}^{i=k} \nu_i = 2p$ . At  $z_i$  we use local polar coordinates  $(r_i, \theta_i)$  for  $i = 1, \dots, k$ .

For each  $i$ ,  $i = 1, \dots, k$  a well-known construction yields us functions  $u_j^i$ ,  $j = 1, \dots, \nu_i$  and  $v_j^i$ ,  $j = 1, \dots, \nu_i$  such that:

(i)  $u_j^i, v_j^i$  are harmonic on  $\mathfrak{M}$  except at  $z_i$ .

(ii)  $u_j^i$  has at  $z_i$  a pole with principal part  $(r_i)^{-j} \cos j\theta_i$  and  $v_j^i$  has at  $z_i$  a pole with principal part  $(r_i)^{-j} \sin j\theta_i$ .

(iii)  $u_j^i$  and  $v_j^i$  vanish identically on  $\gamma$ .

The  $4p$  functions  $u_j^i, v_j^i$  together form a linearly independent set. For suppose  $\sum_{i,j} c_j^i u_j^i + d_j^i v_j^i = 0$ ,  $c_j^i, d_j^i$  being constants. Because of the poles of the  $u_j^i, v_j^i$  this implies  $c_j^i u_j^i + d_j^i v_j^i = 0$ , whence  $c_j^i \cos j\theta_i + d_j^i \sin j\theta_i = 0$ . Since  $\theta_i$  is arbitrary, we conclude  $c_j^i = d_j^i = 0$ , all  $i, j$ . Thus linear independence is established. We now seek constants  $a_j^i, b_j^i$ ,  $j = 1, \dots, \nu_i$ ,  $i = 1, \dots, 2p$ ,

such that the function  $\sum_{i,j} a_j^i u_j^i + b_j^i v_j^i$  have a single-valued conjugate function.

This gives  $2p$  conditions on  $4p$  unknowns, and so we obtain at least  $2p$  linearly independent  $4p$ -tuples satisfying the conditions. We thus get the linearly independent functions

$$q^\nu(\xi) = \sum_{i,j} a_{j\nu}^i u_j^i(\xi) + b_{j\nu}^i v_j^i(\xi), \quad \nu = 1, \dots, 2p.$$

where each  $q^\nu$  has a single-valued conjugate function. Then  $q^\nu(\xi)$  is harmonic on  $\mathfrak{M}$  except for possible poles at the points  $z_i$  of orders  $\leq \nu_i$  and  $q^\nu(\lambda) = 0$  on  $\gamma$  for all  $\nu$ .

Let  $r^\nu(\xi)$  be the conjugate function of  $q^\nu$  with  $r^\nu(\xi_0) = 0$ . Then  $h_\nu(\xi) = i(q^\nu(\xi) + ir^\nu(\xi))$  is, for  $\nu = 1, \dots, 2p$ , a meromorphic function on  $\mathfrak{M}$  such that  $h_\nu(\lambda)$  is real and continuous on  $\gamma$  for each  $\nu$ . Suppose  $\sum_{\nu=1}^{2p} c_\nu h_\nu = 0$ , where  $c_\nu = a_\nu + ib_\nu$ , ( $a_\nu, b_\nu$  real). Since  $h_\nu(\lambda)$  is real for  $\lambda$  and  $\gamma$ , this gives  $\sum_{\nu=1}^{2p} a_\nu h_\nu(\lambda) = \sum_{\nu=1}^{2p} b_\nu h_\nu(\lambda) = 0$  for  $\lambda$  in  $\gamma$ . But  $\sum_{\nu=1}^{2p} a_\nu h_\nu(\xi)$  is meromorphic and so  $\sum_{\nu=1}^{2p} a_\nu h_\nu(\xi) = 0$ ,  $\xi \in \mathfrak{M}$ . Similarly  $\sum_{\nu=1}^{2p} b_\nu h_\nu(\xi) = 0$  on  $\mathfrak{M}$ . Hence  $\sum_{\nu=1}^{2p} a_\nu q^\nu(\xi) = \sum_{\nu=1}^{2p} b_\nu q^\nu(\xi) = 0$  on  $\mathfrak{M}$ . But the  $q^\nu$  are linearly independent by construction, whence  $a_\nu = b_\nu = 0$ , all  $\nu$ . Hence  $c_\nu = 0$ , all  $\nu$ . Hence the  $h_\nu$  are linearly independent.

Consider now the covariant  $h_\nu(\xi)W(\xi)$ . This has no poles except possibly at  $\xi_0$  since the zeros of  $W$  cancel the poles of  $h_\nu$ . Also  $h_\nu(\xi_0) = iq^\nu(\xi_0)$  and so  $\frac{1}{2\pi i} \int_\gamma h_\nu(\lambda)W(\lambda)d\lambda = iq^\nu(\xi_0)$  by the residue theorem. Now  $h_\nu(\lambda)$  is real on  $\gamma$ , and  $\frac{1}{2\pi i}W(\lambda)d\lambda$  is a real-valued measure on  $\gamma$ . Hence the left hand side is real. Hence  $q^\nu(\xi_0) = 0$  and so  $h_\nu(\xi_0) = 0$ . It follows that  $h_\nu(\xi)W(\xi)$  is regular at  $\xi_0$  and so everywhere on  $\mathfrak{M}$ .

Let now  $N$  be the space of all real continuous functions  $u$  on  $\gamma$  with  $\Phi_\nu(u) = 0$  for  $\nu = 1, \dots, 2p$ . Given  $u$  in  $N$  choose  $v$  twice differentiable on  $\gamma$  with  $\|u - v\| < \epsilon$ . Then there is a constant  $K$  so that

$$|\Phi_\nu(v)| = |\Phi_\nu(v - u)| \leq K\epsilon, \quad \nu = 1, \dots, 2p.$$

Set  $w(\lambda) = v(\lambda) - \sum_{\nu=1}^{2p} \Phi_\nu(v)\psi_\nu(\lambda)$ . Then  $w$  is differentiable on  $\gamma$ ,  $\Phi_j(w) = 0$ ,  $j = 1, \dots, 2p$  and

$$\|u - w\| \leq \|u - v\| + \sum_{\nu=1}^{2p} |\Phi_\nu(v)| \|\psi_\nu\| < \epsilon + K'\epsilon = K''\epsilon, K''$$

independent of  $\epsilon$ .

Let  $w(\zeta)$  be the harmonic function with boundary value  $w(\lambda)$ . Since  $\Phi_j(w) = 0$ ,  $j = 1, \dots, 2p$ ,  $w$  has a single-valued conjugate  $w_1$  and since  $w$  is twice differentiable on  $\gamma$ ,  $w_1$  is continuous in  $\mathfrak{M} + \gamma$ . By the preceding  $h_i(\zeta)W(\zeta)$  is analytic on  $\mathfrak{M}$  for each  $i$ . The residue theorem yields:

$$0 = \int_{\gamma} (w(\lambda) + iw_1(\lambda))h_i(\lambda)W(\lambda)d\lambda,$$

all  $i$ . Since  $h_i(\lambda)$  is real, we get

$$0 = \int_{\gamma} w(\lambda)h_i(\lambda)W(\lambda)d\lambda = \int_{\gamma} w(\lambda)h_i(\lambda)dw(\lambda)$$

for all  $i$ . Now

$$\begin{aligned} \left| \int_{\gamma} u(\lambda)h_i(\lambda)dw(\lambda) \right| &= \left| \int_{\gamma} (u(\lambda) - w(\lambda))h_i(\lambda)dw(\lambda) \right| \\ &\leq K''\epsilon \int_{\gamma} |h_i(\lambda)| dw(\lambda). \end{aligned}$$

Since  $\epsilon$  is arbitrary, we get

$$\int_{\gamma} u(\lambda)h_i(\lambda)dw(\lambda) = 0,$$

all  $i$ . Thus the functional  $h_i dw$  annihilates  $N$ . Hence, by elementary vector-space reasoning, there exist constants  $b_{\nu^i}$ ,  $i = 1, \dots, 2p$ ,  $\nu = 1, \dots, 2p$ , with

$$h_i(\lambda)dw(\lambda) = \sum_{\nu=1}^{2p} b_{\nu} \Phi_{\nu}, \quad i = 1, \dots, 2p.$$

Since the  $h_i$  are linearly independent, we can solve this system of equations to get

$$\Phi_{\nu} = \sum_{i=1}^{2p} c_{\nu}^i h_i(\lambda)dw(\lambda) = K_{\nu}(\lambda)dw(\lambda), \quad \nu = 1, \dots, 2p.$$

The properties of the  $h_i$  established above yield that the  $K_i$  satisfy the assertions of the Lemma.

LEMMA 3. *Let  $\mu$  be any complex-valued Borel measure on  $\gamma$  such that  $\int_{\gamma} f(\lambda)d\mu(\lambda) = 0$  whenever  $f \in \mathfrak{A}$ . Then for closed sets  $E$  on  $\gamma$ ,  $\omega(E) = 0$  implies  $\mu(E) = 0$ .*

The analogous assertion was proved for the unit circle by F. and M. Riesz in [4]. A slight modification of their argument yields the following proof.

*Proof of Lemma 3.* Since  $E$  is closed, the complement of  $E$  on  $\gamma$  is the union of countably many disjoint arcs  $\gamma_n$ . Since

$$\int_{\gamma} d\omega(\lambda) < \infty, \quad \sum_{n=1}^{\infty} \int_{\gamma_n} d\omega(\lambda) < \infty.$$

Hence we can find a sequence of positive numbers  $d_n$  with  $d_n$  increasing to infinity with  $n$ , such that  $\sum_{n=1}^{\infty} (\int_{\gamma_n} d\omega(\lambda)) \cdot d_n < \infty$ . For  $n = 1, 2, \dots$  we define a positive real twice differentiable function  $g_n$  on  $\gamma_n$  such that  $I_n = \int_{\gamma_n} g_n(\lambda) d\omega(\lambda) < \infty$  and  $g_n(\lambda)$  increases on  $\gamma_n$  to  $\infty$  as  $\lambda$  approaches the endpoints of  $\gamma_n$ . Choose positive constants  $c_n$  with  $\sum_{n=1}^{\infty} c_n I_n < \infty$ .

Set  $P(\lambda) = c_n g_n(\lambda) + d_n$  for  $\lambda \in \gamma_n, n = 1, 2, \dots$ . Since  $\omega(E) = 0$ ,  $P$  is defined almost everywhere on  $\gamma$  with respect to  $\omega$  and so with respect to  $\omega_{\xi}$  for every  $\xi$  in  $\mathfrak{M}$ . Let now  $P(\xi) = \int_{\gamma} P(\lambda) d\omega_{\xi}(\lambda), \xi \in \mathfrak{M}$ . Note that

$$\int_{\gamma} P(\lambda) d\omega(\lambda) = \sum_{n=1}^{\infty} (c_n I_n + d_n \int_{\gamma_n} d\omega(\lambda)) < \infty.$$

From the way  $P(\lambda)$  was constructed, we see that  $P(\lambda)$  is continuous and finite at each point  $\lambda \notin E$  and  $P(\lambda)$  becomes continuously  $+\infty$  at each point of  $E$ .

By elementary properties of the harmonic measures  $\omega_{\xi}$ , we get then that  $P(\xi)$  is harmonic in  $\mathfrak{M}$  and has  $P(\lambda)$  as continuous boundary function on  $\gamma$ , if we make the obvious definition of continuous approach to  $\infty$  for  $P(\xi)$  as  $\xi \rightarrow \lambda, \lambda$  in  $E$ . Let  $k_1, \dots, k_{2p}$  be the periods of the conjugate function of  $P(\xi)$ . Choose a constant  $d$  so that  $P_1 = d + P - \sum_{i=1}^{2p} k_i \psi_i > 0$  on  $\gamma$ , and hence on  $\mathfrak{M}$  and let  $Q_1$  be the (single-valued) conjugate function of  $P_1$ . Set now  $k(\xi) = (1 + P_1 + iQ_1)^{-1} \cdot (P_1 + iQ_1)(\xi)$ . Then  $k(\xi)$  is analytic in  $\mathfrak{M}$ . For  $\lambda \in \gamma$  and  $\lambda \notin E, k(\lambda) = (1 + x + iy)^{-1} \cdot (x + iy)$  with  $0 < x < \infty$  and hence  $|k(\lambda)| < 1$ . Let  $\lambda \in E$ . As  $\xi \rightarrow \lambda |P_1(\xi) + iQ_1(\xi)| \rightarrow \infty$ , whence  $k(\xi) \rightarrow 1$ . Thus  $k(\lambda) = 1$  on  $E$ . In particular  $k(\lambda)$  is continuous in  $\mathfrak{M} + \gamma$ , and so  $k \in \mathfrak{A}$ . Hence  $k^n \in \mathfrak{A}$  for  $n = 1, 2, \dots$ . Then

$$0 = \int_{\gamma} k^n(\lambda) d\mu(\lambda) = \int_E d\mu(\lambda) + \int_{\gamma-E} k^n(\lambda) d\mu(\lambda).$$

Letting  $n \rightarrow \infty$  and recalling that  $|k(\lambda)| < 1$  if  $\lambda \in \gamma - E$ , we conclude that

$$0 = \int_E d\mu(\lambda) = \mu(\mathcal{E}), \text{ as asserted.}$$

*Definition 4.* We denote by  $L^p(\gamma)$  the class of functions  $F(\lambda)$  on  $\gamma$  measurable with respect to  $\omega$  and with  $\int_{\gamma} |F(\lambda)|^p d\omega(\lambda) < \infty$ .

*COROLLARY.* If  $\mu$  is a Borel-measure on  $\gamma$  such that  $\int_{\gamma} f(\lambda) d\mu(\lambda) = 0$  for all  $f \in \mathfrak{A}$ , then there exists  $F(\lambda) \in L^1(\gamma)$  such that  $d\mu(\lambda) = F(\lambda) d\omega(\lambda)$  as measures on  $\gamma$ .

*Proof.* By Lemma 3,  $\omega(E) = 0$  implies  $\mu(E) = 0$  for any closed set  $E$ . It follows that this implication holds for each Borel set  $E$ .

We can write  $\mu = \mu^+ - \mu^- + i\nu^+ - i\nu^-$  where  $\mu^+$ ,  $\mu^-$ ,  $\nu^+$ ,  $\nu^-$  are real non-negative measures. Let now  $E$  be any Borel set with  $\omega(E) = 0$ . For every Borel subset  $E'$  of  $E$ ,  $\omega(E') = 0$  and so  $\mu(E') = 0$ . Hence  $\mu^+(E) = \mu^-(E) = \nu^+(E) = \nu^-(E) = 0$ . Thus  $\mu^+$ , etc. are all absolutely continuous with respect to  $\omega$ . It follows by the Radon-Nikodym theorem, that  $d\mu^+(\lambda) = F_1(\lambda) d\omega(\lambda)$ , where  $F_1 \in L^1(\gamma)$ . Similarly  $d\mu^-(\lambda) = F_2(\lambda) d\omega(\lambda)$ ,  $F_2 \in L^1(\gamma)$  and so on. Adding these equations we get the assertion.

Let now  $\gamma'$  be a simple closed analytic curve in  $\mathfrak{M}$  such that  $\gamma$  and  $\gamma'$  together bound an annular subregion  $\mathfrak{M}'$  of  $\mathfrak{M}$ . We choose  $\gamma'$  so that all zeros of  $W$  lie outside  $\mathfrak{M}' + \gamma'$ . We can then map  $\mathfrak{M}'$  conformally onto the annulus  $r' < |z| < 1$  in the plane, by a mapping  $z = \chi(\xi)$ ,  $\xi \in \mathfrak{M}'$ . Since  $\gamma$ ,  $\gamma'$  are analytic curves,  $\chi$  is analytic on the boundary curves  $\gamma$  and  $\gamma'$ . It follows that for a fixed  $K$ , and each Borel set  $E$  on  $\gamma$ ,

$$\frac{1}{K} \omega(E) \leq m(\chi(E)) \leq K\omega(E),$$

where  $m$  denotes Lebesgue measure on  $|z| = 1$ .

Let  $F(\xi)$  be analytic on  $\mathfrak{M}'$ . Then  $F^o(z) = F(\chi^{-1}(z))$  is analytic in the annulus  $r' < |z| < 1$ . We shall omit the symbol “ $o$ ,” since this omission introduces no ambiguity. Also for  $g(\lambda)$  defined on  $\gamma$ , we write  $g(e^{i\theta})$  for  $g(\chi^{-1}(e^{i\theta}))$ .

*Definition 5.* Let  $F$  be analytic in  $\mathfrak{M}$ . We say  $F \in \mathfrak{S}'$ , provided that (with the notations just given)

$$\int_0^{2\pi} |F(re^{i\theta})| d\theta = O(1) \text{ as } r \rightarrow 1.$$

*LEMMA 4.* Let  $F(\xi) \in \mathfrak{S}'$ . Then there exists a function  $F^*(\lambda)$  defined on  $\gamma$  a. e.— $d\omega$  such that

(a)  $F^* \in L^1(\gamma)$ .



- (b)  $F(\xi) = \frac{1}{2\pi i} \int_{\gamma} F^*(\lambda) W_{\xi}(\lambda) d\lambda$ , all  $\xi \in \mathfrak{M}$ .
- (c)  $\lim_{\xi \rightarrow \lambda} F(\xi) = F^*(\lambda)$  a. e.— $d\omega$  on  $\gamma$ , if  $\xi \rightarrow \lambda$  within some sector.
- (d) Fix  $r_1 > r'$ . Then for some constant  $K$  independent of  $F$  and  $r$  we have for  $r_1 < r < 1$ :

$$\int_0^{2\pi} |F(re^{i\theta})| d\theta \leq K \int_0^{2\pi} |F^*(e^{i\theta})| d\theta.$$

*Proof.* By hypothesis  $\int_0^{2\pi} |F(re^{i\theta})| d\theta = O(1)$  as  $r \rightarrow 1$ , and  $F(z)$  is analytic for  $r' < |z| < 1$ . We may write  $F = F_1 + F_2$  where  $F_1$  is analytic in  $|z| < 1$  and  $F_2$  is analytic in  $|z| > r'$ . We hence get

$$\int_0^{2\pi} |F_1(re^{i\theta})| d\theta = O(1) \text{ as } r \rightarrow 1.$$

Classical results now give that  $F_1^*(e^{i\theta}) = \lim_{z \rightarrow e^{i\theta}} F_1(z)$  exists for a. a.  $\theta$  if the approach to the boundary lies within some sector, and that  $F_1^*(e^{i\theta})$  is summable on  $0 \leq \theta < 2\pi$ . Hence  $\lim_{z \rightarrow e^{i\theta}} F(z)$  exists a. e. We denote it by  $F^*(e^{i\theta})$ ; for  $\lambda$  on  $\gamma$  we write  $F^*(\lambda)$  instead of  $F^*(\chi(\lambda))$ . Since sets of  $\omega$ -measure 0 on  $\gamma$  correspond to sets of Lebesgue measure 0 on  $|z| = 1$ , we so get assertion (c).

Let now  $r' < r < 1$  and let  $\gamma_r$  be the curve in  $\mathfrak{M}'$  which  $\chi$  maps into the circle  $|z| = r$ . The residue theorem gives for  $\xi \in \mathfrak{M}$ ,  $\xi$  outside the region bounded by  $\gamma_r$  and  $\gamma$ :

$$F(\xi) = \frac{1}{2\pi i} \int_{\gamma_r} F(\lambda) W_{\xi}(\lambda) d\lambda = \frac{1}{2\pi} \int_0^{2\pi} F(re^{i\theta}) W_{\xi}(re^{i\theta}) re^{i\theta} d\theta.$$

By a classical theorem,  $\lim_{r \rightarrow 1} \int_0^{2\pi} |F_1(re^{i\theta}) - F_1^*(e^{i\theta})| d\theta = 0$ ; also  $W_{\xi}(re^{i\theta})$  is continuous for  $r' < r \leq 1$ . It follows that

$$F(\xi) = \lim_{r \rightarrow 1} \frac{1}{2\pi} \int_0^{2\pi} F(re^{i\theta}) W_{\xi}(re^{i\theta}) re^{i\theta} d\theta = \frac{1}{2\pi} \int_0^{2\pi} F^*(e^{i\theta}) W_{\xi}(e^{i\theta}) e^{i\theta} d\theta.$$

Hence  $F(\xi) = \frac{1}{2\pi i} \int_{\gamma} F^*(\lambda) W_{\xi}(\lambda) d\lambda$ ,  $\xi \in \mathfrak{M}$ . Thus (b) is proved.

Now

$$\int_{\gamma} |F^*(\lambda)| d\omega(\lambda) = \frac{1}{2\pi} \int_0^{2\pi} |F^*(e^{i\theta})| W(e^{i\theta}) e^{i\theta} d\theta < \infty,$$

since  $\int_0^{2\pi} |F^*(e^{i\theta})| d\theta < \infty$  by construction of  $F^*$ , and  $W$  is continuous. Thus

(a) holds. Finally, write  $F(z) = F_1(z) + F_2(z)$ , where  $F_1(z)$  is analytic in  $|z| < 1$  and  $F_2(z) = -\frac{1}{2\pi i} \int_{|\tau|=r'} \frac{F(\tau)}{(\tau-z)^{-1}} d\tau$ ; so that  $F_2$  is analytic in  $|z| > r'$ . Let  $\zeta = \chi^{-1}(\tau)$ . Then  $F(\tau) = \int_{\gamma} F^*(\lambda)(W(\lambda))^{-1} W_{\zeta}(\lambda) d\omega(\lambda)$  by (b), whence  $|F(\tau)| \leq M_{\zeta} \int_{\gamma} |F^*(\lambda)| d\omega(\lambda)$ , where  $M_{\zeta} = \max_{\lambda \in \gamma} |W^{-1}(\lambda)W_{\zeta}(\lambda)|$ . Hence for  $0 \leq \theta < 2\pi$ ,  $r' < r$ ,

$$|F_2(re^{i\theta})| \leq 1/(r-r') \max_{|\tau|=r'} |F(\tau)| \leq (M/2\pi) 1/(r-r') \int_{\gamma} |F^*(\lambda)| d\omega(\lambda),$$

where  $M = \sup_{\zeta \in \gamma'} M_{\zeta}$ . At last,  $r' < r_1 \leq r$ ,

$$\int_0^{2\pi} |F_2(re^{i\theta})| d\theta \leq \int_{\gamma} |F^*(\lambda)| d\omega(\lambda) \cdot M_1 \leq M_2 \cdot \int_0^{2\pi} |F^*(e^{i\theta})| d\theta,$$

where  $M_1$  and  $M_2$  are constants. On the other hand, since  $F_1$  is analytic in  $|z| < 1$ ,

$$\begin{aligned} \int_0^{2\pi} |F_1(re^{i\theta})| d\theta &\leq \int_0^{2\pi} |F_1^*(e^{i\theta})| d\theta \leq \int_0^{2\pi} |F^*(e^{i\theta})| d\theta + \int_0^{2\pi} |F_2^*(e^{i\theta})| d\theta \\ &\leq (1 + M_2) \int_0^{2\pi} |F^*(e^{i\theta})| d\theta. \end{aligned}$$

Hence

$$\begin{aligned} \int_0^{2\pi} |F(re^{i\theta})| d\theta &= \int_0^{2\pi} |F_1(re^{i\theta}) + F_2(re^{i\theta})| d\theta \\ &\leq (1 + 2M_2) \int_0^{2\pi} |F^*(e^{i\theta})| d\theta. \end{aligned}$$

This proves (d).

*Definition 6.*  $\mathfrak{B}$  is the conjugate space of  $\mathbf{C}$ .

By the representation theorem of F. Riesz,  $\mathfrak{B}$  may be identified with the space of all complex-valued Borel-measures on  $\gamma$ .

*Definition 7.*  $\mathfrak{B}$  is the subspace of  $\mathfrak{B}$  consisting of all measures  $\mu$  of the form  $d\mu(\lambda) = G^*(\lambda)d\omega(\lambda)$  where  $G^*$  is the boundary function of some  $G$  in  $\mathfrak{S}'$  with  $G(\zeta_0) = 0$ .

LEMMA 5.<sup>3</sup>  $\mathfrak{B}$  is regularly closed as subspace of  $\mathfrak{B}$ .

<sup>3</sup> The idea of using a lemma of this kind resulted from a conversation with Professor S. Kakutani.

*Proof.* By a theorem of Banach, [5], p. 124, it suffices to show that with each weakly convergent sequence of elements of  $\mathfrak{B}$  the limit again is in  $\mathfrak{B}$ .

Let now  $\mu_n \in \mathfrak{B}$ ,  $\mu_n$  converge weakly to  $\mu$ . By Definition 7, there exists  $G_n \in \mathfrak{S}'$ ,  $G_n(\xi_0) = 0$  with  $d\mu_n(\lambda) = G_n^*(\lambda)d\omega(\lambda)$ . Then for each  $f \in \mathfrak{A}$ ,  $f \cdot G_n \in \mathfrak{S}'$ , and so by Lemma 4,

$$0 = f(\xi_0)G_n(\xi_0) = \int_{\gamma} f(\lambda)G_n^*(\lambda)d\omega(\lambda) = \int_{\gamma} f(\lambda)d\mu_n(\lambda).$$

For  $f \in \mathfrak{A}$ , then,  $0 = \int_{\gamma} f(\lambda)d\mu(\lambda)$ . By the Corollary to Lemma 3, this implies that there exists  $G_0 \in L^1(\gamma)$  with  $G_0(\lambda)d\omega(\lambda) = d\mu(\lambda)$ .

We shall show that  $G_n$  converges to a function  $G$  analytic on  $\mathfrak{M}$  with  $G \in \mathfrak{S}'$  and that  $G^*(\lambda) = G_0(\lambda)$  a. e.— $d\omega$  on  $\gamma$ . From this it follows that  $d\mu(\lambda) = G^*(\lambda)d\omega(\lambda)$  and so  $\mu \in \mathfrak{B}$ . Now

$$G_n(\xi) = \frac{1}{2\pi i} \int_{\gamma} G_n^*(\lambda)W_{\xi}(\lambda)d\lambda = \int_{\gamma} (W(\lambda))^{-1}W_{\xi}(\lambda)d\mu_n(\lambda).$$

Hence  $G(\xi) = \lim_{n \rightarrow \infty} G_n(\xi)$  exists for  $\xi \in \mathfrak{M}$ . Also

$$|G_n(\xi)| \leq M_{\xi} \int_{\gamma} |G_n^*(\lambda)|d\omega(\lambda),$$

where  $M_{\xi} = \max_{\lambda \in \gamma} |(W(\lambda))^{-1}W_{\xi}(\lambda)|$ . Now since the sequence  $\mu_n$  converges weakly, the total variation of  $\mu_n$  has a bound  $K$  valid for all  $n$ . Hence

$$(1) \quad \int_{\gamma} |G_n^*(\lambda)|d\omega(\lambda) < K.$$

Also  $M_{\xi}$  is bounded on each compact subset of  $\mathfrak{M}$ . Hence by Vitali's theorem,  $G$  is analytic on  $\mathfrak{M}$  and  $\lim_{n \rightarrow \infty} G_n = G$  uniformly on each compact subset of  $\mathfrak{M}$ . Now

$$\int_{\gamma} |G_n^*(\lambda)|d\omega(\lambda) = \frac{1}{2\pi} \int_0^{2\pi} |G_n^*(e^{i\theta})| |W(e^{i\theta})e^{i\theta}| d\theta.$$

But  $W(e^{i\theta})e^{i\theta}$  has a positive lower bound on  $(0, 2\pi)$ . Hence  $\int_0^{2\pi} |G_n^*(e^{i\theta})| d\theta < K'$ , all  $n$ , by (1). Hence  $\int_0^{2\pi} |G_n(re^{i\theta})| d\theta < K''$ , all  $n$ , by (d) of Lemma

4,  $r' < r_1 < r < 1$ . It follows that  $\int_0^{2\pi} |G(re^{i\theta})| d\theta < K''$ , whence  $G \in \mathfrak{S}'$ .

We claim that  $G^*(\lambda) = G_0(\lambda)$  a. e.— $d\omega$ .

Let now  $r' < r < 1$ . Set

$$U_n^1(z) = \frac{1}{2\pi i} \int_{|\tau|=r'} (\tau - z)^{-1} G_n(\tau) d\tau, \quad |z| > r'$$

$$U_n^2(z) = \frac{1}{2\pi i} \int_{|\tau|=r} (\tau - z)^{-1} G_n(\tau) d\tau, \quad |z| < r.$$

Then  $U_n^1$  is analytic for  $|z| > r'$ ,  $U_n^2$  for  $|z| < 1$ , and

$$G_n(z) = U_n^2(z) - U_n^1(z), \quad r' < |z| < 1.$$

Clearly  $U_n^{1*}(e^{i\theta}) = \lim_{z \rightarrow e^{i\theta}} U_n^1(z)$  exists for all  $\theta$ . Also  $G_n^*(e^{i\theta})$  exists a. e.

Hence  $U_n^{2*}(e^{i\theta})$  exists a. e. and  $G_n^* = U_n^{2*} - U_n^{1*}$ , a. e.

Now  $G_n(\tau) \rightarrow G(\tau)$  uniformly on  $|\tau| = r'$ . Hence  $U_n^1(z) \rightarrow U^1(z)$  uniformly for  $r' < a \leq |z| \leq b < \infty$ , and  $U^{1*}(e^{i\theta})$  exists everywhere. Hence  $U_n^2(z) \rightarrow U^2(z)$  uniformly in  $r' < a \leq |z| \leq b < 1$ , and  $G(z) = U^2(z) - U^1(z)$ . It follows that

$$(2) \quad \int_0^{2\pi} |U^2(re^{i\theta})| d\theta = O(1) \text{ as } r \rightarrow 1.$$

Also

$$(3) \quad G^*(e^{i\theta}) = U^{2*}(e^{i\theta}) - U^{1*}(e^{i\theta}) \text{ a. e.}$$

Fix  $r, \phi; r < 1, 0 \leq \phi < 2\pi$ . Set  $g(\theta) = (1 - r^2)(1 + r^2 - 2r \cos(\theta - \phi))^{-1}$ . Now

$$\frac{1}{2\pi} \int_0^{2\pi} U_n^{2*}(e^{i\theta}) g(\theta) d\theta = \frac{1}{2\pi} \int_0^{2\pi} (G_n^*(e^{i\theta}) + U_n^{1*}(e^{i\theta})) g(\theta) d\theta$$

and  $U_n^{1*}(e^{i\theta}) \rightarrow U^{1*}(e^{i\theta})$  uniformly in  $0 \leq \theta < 2\pi$  and  $G_n^*(\lambda) d\omega(\lambda)$  converges weakly to  $G_0(\lambda) d\omega(\lambda)$ . Hence

$$\lim_{n \rightarrow \infty} \frac{1}{2\pi} \int_0^{2\pi} U_n^{2*}(e^{i\theta}) g(\theta) d\theta = \frac{1}{2\pi} \int_0^{2\pi} (G_0(e^{i\theta}) + U^{1*}(e^{i\theta})) g(\theta) d\theta.$$

On the other hand,

$$U_n^2(re^{i\phi}) = \frac{1}{2\pi} \int_0^{2\pi} U_n^{2*}(e^{i\theta}) g(\theta) d\theta \rightarrow U^2(re^{i\phi}).$$

By (2) we get, since  $U^2$  is analytic in  $|z| < 1$ ,

$$U^2(re^{i\phi}) = \frac{1}{2\pi} \int_0^{2\pi} U^{2*}(e^{i\theta}) g(\theta) d\theta.$$

Hence

$$\int_0^{2\pi} U^{2*}(e^{i\theta}) g(\theta) d\theta = \int_0^{2\pi} (G_0(e^{i\theta}) + U^{1*}(e^{i\theta})) g(\theta) d\theta,$$

or

$$0 = \int_0^{2\pi} \{U^{2*} - G_0 - U^{1*}\}(e^{i\theta}) (1 - r^2) (1 + r^2 - 2r \cos(\theta - \phi))^{-1} d\theta.$$

This now holds for arbitrary  $r, \phi$ . Since  $U^{2*} - G_0 - U^{1*}$  is summable on  $(0, 2\pi)$ , we conclude

$$G_0(e^{i\theta}) = U^{2*}(e^{i\theta}) - U^{1*}(e^{i\theta}) = G^*(e^{i\theta}), \text{ a. e.}$$

The conclusion now follows, as shown above.

**THEOREM 1.** *Let  $\mu_0 \in \mathfrak{B}$  and  $\int_{\gamma} f(\lambda) d\mu_0(\lambda) = 0$  for all  $f$  in  $\mathfrak{A}$ . Then there exists  $J \in \mathfrak{S}'$ ,  $J(\xi_0) = 0$ , and constants  $c_i$  such that, setting*

$$L(\lambda) = J^*(\lambda) + \sum_{i=1}^{2p} c_i K_i(\lambda),$$

where  $K_i$  are the functions of Lemma 2, we have  $d\mu_0(\lambda) = L(\lambda) d\omega(\lambda)$ .

*Proof.* Let  $\mathfrak{B}'$  be the vector-space obtained by adjoining to  $\mathfrak{B}$  the measures  $K_i(\lambda) d\omega(\lambda)$ ,  $i = 1, \dots, 2p$ . Since  $\mathfrak{B}$  is regularly closed, the same is true of  $\mathfrak{B}'$ . Our assertion amounts to the statement that  $\mu_0 \in \mathfrak{B}'$ .

Suppose  $\mu_0 \notin \mathfrak{B}'$ . Since  $\mathfrak{B}'$  is regularly closed, it follows by Banach's definition, that for some  $f_0$  in  $\mathbf{C}$

$$(4) \quad \int_{\gamma} f_0(\lambda) d\mu_0(\lambda) \neq 0$$

$$(5) \quad \int_{\gamma} f_0(\lambda) d\mu(\lambda) = 0 \text{ if } \mu \in \mathfrak{B}'.$$

Let now  $\mathfrak{A}$  be the closure in  $L^2(\gamma)$  of  $\mathfrak{A}$ . Then we can decompose  $f_0$  as follows:  $f_0 = H + G$ ,  $H \in \bar{\mathfrak{A}}$ ,  $G$  orthogonal to  $\bar{\mathfrak{A}}$ . Let  $f \in \mathfrak{A}$ . Then

$$(6) \quad \int_{\gamma} \bar{f}(\lambda) G(\lambda) d\omega(\lambda) = 0.$$

Hence

$$(6') \quad \int_{\gamma} G(\lambda) d\omega(\lambda) = 0.$$

Let now  $H_n \in \mathfrak{A}$ ,  $H_n \rightarrow H$  in the norm of  $L^2(\gamma)$ . Then by the residue theorem

$$\frac{1}{2\pi i} \int_{\gamma} H_n(\lambda) (f(\lambda) - f(\xi_0)) d\omega(\lambda) = 0,$$

whence

$$(7) \quad \int_{\gamma} H(\lambda) (f(\lambda) - f(\xi_0)) d\omega(\lambda) = 0.$$

Also  $(f(\lambda) - f(\xi_0))d\omega(\lambda) \in \mathfrak{B}'$ , whence by (5)

$$\int_{\gamma} f_0(\lambda) (f(\lambda) - f(\xi_0))d\omega(\lambda) = 0.$$

Hence from  $f_0 = H + G$  and (7),

$$\int_{\gamma} G(\lambda) (f(\lambda) - f(\xi_0))d\omega(\lambda) = 0.$$

By (6'), then,

$$(8) \quad \int_{\gamma} G(\lambda)f(\lambda)d\omega(\lambda) = 0.$$

It follows from (6) and (8) that  $\int_{\gamma} u(\lambda)G(\lambda)d\omega(\lambda) = 0$  for all real continuous functions  $u$  on  $\gamma$  with  $u = \operatorname{Re} f$ , for some  $f \in \mathfrak{A}$ . As in the proof of Lemma 2, we get from this that for some  $b_\nu$ ,  $G(\lambda)d\omega(\lambda) = \sum_{\nu=1}^{2p} b_\nu \Phi_\nu$  as functionals, and hence that

$$(9) \quad G(\lambda)d\omega(\lambda) = \sum_{i=1}^{2p} c_i K_i(\lambda)d\omega(\lambda)$$

where  $K_i$  are the functions constructed in Lemma 2. Now

$$\int_{\gamma} H(\lambda)K_\nu(\lambda)d\omega(\lambda) = 0, \quad \nu = 1, \dots, 2p;$$

also  $K_\nu(\lambda)d\omega(\lambda) \in \mathfrak{B}'$ . Hence by (5),

$$\int_{\gamma} f_0(\lambda)K_\nu(\lambda)d\omega(\lambda) = 0, \quad \nu = 1, \dots, 2p.$$

Hence

$$(10) \quad \int_{\gamma} G(\lambda)K_\nu(\lambda)d\omega(\lambda) = 0, \quad \nu = 1, \dots, 2p.$$

By (9) and (10),

$$\begin{aligned} \int_{\gamma} |G(\lambda)|^2 d\omega(\lambda) &= \int_{\gamma} G(\lambda) \sum_{i=1}^{2p} \bar{c}_i K_i(\lambda) d\omega(\lambda) \\ &= \sum_{i=1}^{2p} \bar{c}_i \int_{\gamma} G(\lambda) K_i(\lambda) d\omega(\lambda) = 0. \end{aligned}$$

Hence  $G(\lambda) = 0$  a. e. and so  $f_0 = H$  a. e.

Now consider  $H_n \in \mathfrak{A}$ ,  $H_n \rightarrow H$  in the norm of  $L^2(\gamma)$ . Then for  $\zeta \in \mathfrak{M}$ ,

$$H_n(\zeta) = \frac{1}{2\pi i} \int_{\gamma} H_n(\lambda) W_{\zeta}(\lambda) d\lambda.$$

It then follows that

$$\frac{1}{2\pi i} \int_{\gamma} f_0(\lambda) W_{\zeta}(\lambda) d\lambda = \lim_{n \rightarrow \infty} H_n(\zeta)$$

is analytic in  $\mathfrak{M}$ . Also  $\frac{1}{2\pi i} \int_{\gamma} f_0(\lambda) W_{\zeta}(\lambda) d\lambda$  has  $f_0$  as continuous boundary value on  $\gamma$ . Hence  $f_0 \in \mathfrak{A}$ . Then  $\int_{\gamma} f_0(\lambda) d\mu_0(\lambda) = 0$ . This contradicts (4). Hence the assertion must be true.

**3. Proof of Theorem 2.** Let  $\mathfrak{A}'$  be a closed subalgebra of  $\mathbf{C}$  with  $\mathfrak{A}' \neq \mathbf{C}$  and  $\mathfrak{A} \subseteq \mathfrak{A}'$ . Since  $\mathfrak{A}'$  is a proper closed subspace of  $\mathbf{C}$ , a well-known theorem on Banach spaces guarantees the existence of a non-zero functional on  $\mathbf{C}$  which annihilates  $\mathfrak{A}'$ . Thus there exists  $\mu \in \mathfrak{B}$ ,  $\mu \neq 0$  with  $\int_{\gamma} g(\lambda) d\mu(\lambda) = 0$  if  $g \in \mathfrak{A}'$ . This holds in particular if  $g \in \mathfrak{A}$ . Hence by Theorem 1,  $d\mu(\lambda) = L_0(\lambda) d\omega(\lambda)$  where  $L_0$  is meromorphic on  $\mathfrak{M}$  and analytic on  $\mathfrak{M}$  except at the poles of the  $K_i$ . Hence  $L_0$  is analytic except at the points  $z_1, \dots, z_k$  where  $W$  vanishes. Also,  $\lim_{\zeta \rightarrow \lambda} L_0(\zeta)$  exists for a. a.  $\lambda$  on  $\gamma$ , if  $\zeta \rightarrow \lambda$  within some sector, and this limit  $\neq 0$  a. e. on  $\gamma$ .

Fix now  $\phi \in \mathfrak{A}'$ . We shall show  $\phi \in \mathfrak{A}$ . For if  $f \in \mathfrak{A}$ ,  $f(\lambda)\phi^m(\lambda) \in \mathfrak{A}'$  for  $m = 1, 2, \dots$  whence  $\int_{\gamma} f(\lambda)\phi^m(\lambda) d\mu(\lambda) = 0$ . Applying Theorem 1 to the measures  $\phi^m(\lambda) d\mu(\lambda)$ , we get  $\phi^m(\lambda) d\mu(\lambda) = L_m(\lambda) d\omega(\lambda)$  where  $L_m$  has the same analyticity and boundary behavior as  $L_0$ . Hence  $\phi^m(\lambda)L_0(\lambda) = L_m(\lambda)$  a. e. on  $\gamma$ . It follows that  $(L_1(\lambda))^m = L_m(\lambda)(L_0(\lambda))^{m-1}$  a. e. on  $\gamma$ . On both sides we have non-tangential boundary values of functions analytic in the region  $\mathfrak{M}_0$  obtained by deleting from  $\mathfrak{M}$  the points  $z_1, \dots, z_k$ . By a result of Lusin and Privaloff, [6], an analytic function possessing non-tangential boundary values on a set of positive measure is determined by these values. Hence  $(L_1(\zeta))^m = L_m(\zeta)(L_0(\zeta))^{m-1}$  for  $\zeta$  in  $\mathfrak{M}_0$ . Since this is true for all  $m \geq 1$ ,  $L_0$  cannot have a zero at any point  $\zeta'$  in  $\mathfrak{M}_0$  of order  $\alpha$  unless  $L_1$  has at  $\zeta'$  a zero of order  $\geq \alpha$ . Hence  $L_0^{-1}L_1$  is analytic in  $\mathfrak{M}_0$ . Also, since  $\phi(\lambda)L_0(\lambda) = L_1(\lambda)$  a. e. on  $\gamma$ ,  $\phi$  is the non-tangential limit of  $L_0^{-1}L_1$  a. e. on  $\gamma$ .

Set  $T\phi(\zeta) = L_0^{-1}(\zeta)L_1(\zeta)$ . The map  $\phi \rightarrow T\phi$  then assigns to each  $\phi$  in  $\mathfrak{A}'$  an analytic function  $T\phi$  on  $\mathfrak{M}_0$  having boundary values  $\phi(\lambda)$ . By the theorem in [6] mentioned above,  $\phi$  determines  $T\phi$ . Let now  $\phi_1, \phi_2$  belong to  $\mathfrak{A}'$ . Then

$$\lim_{\zeta \rightarrow \lambda} T\phi_1(\zeta) \cdot T\phi_2(\zeta) = \phi_1(\lambda)\phi_2(\lambda)$$

and so  $T(\phi_1 \cdot \phi_2) = T\phi_1 \cdot T\phi_2$ . Similarly  $T(\phi_1 + \phi_2) = T\phi_1 + T\phi_2$ . Fix now  $z_0$  in  $\mathfrak{M}_0$ . Then the map  $\phi \rightarrow T\phi(z_0)$  is a multiplicative functional defined on  $\mathfrak{A}'$ . But a multiplicative functional on a Banach algebra is always bounded and has bound 1. Hence  $|T\phi(z_0)| \leq \|\phi\|$ . Since  $z_0$  is an arbitrary point in  $\mathfrak{M}_0$ ,  $T\phi$  is then bounded on  $\mathfrak{M}_0$ ; hence  $T\phi$  is analytic and bounded on  $\mathfrak{M}$ . Lemma 4 gives now that for  $\xi$  in  $\mathfrak{M}$ ,

$$T\phi(\xi) = \int_{\gamma} (T\phi)^*(\lambda) d\omega_{\xi}(\lambda) = \int_{\gamma} \phi(\lambda) d\omega_{\xi}(\lambda).$$

On the other hand the last integral represents a continuous function on  $\mathfrak{M} + \gamma$  agreeing with  $\phi(\lambda)$  on  $\gamma$ . Hence  $\phi$  is in  $\mathfrak{A}$ , as asserted.

Hence  $\mathfrak{A}' = \mathfrak{A}$ , and so Theorem 2 is established.

4. (Added November 27, 1954.) Let now  $\mathfrak{F}_1, \mathfrak{F}_2$  be Riemann surfaces,  $\mathfrak{M}_1, \mathfrak{M}_2$  regions on them bounded by simple closed analytic curves  $\gamma_1, \gamma_2$  with  $\mathfrak{M}_i \cup \gamma_i$  compact,  $i = 1, 2$ . Let  $\mathfrak{A}_i$  be the algebra of functions continuous on  $\gamma_i$  and extendable to be analytic on  $\mathfrak{M}_i$ ,  $i = 1, 2$ . We assert:

THEOREM 3.  $\mathfrak{A}_1$  is isomorphic to  $\mathfrak{A}_2$  as algebra if and only if  $\mathfrak{M}_1$  is conformally equivalent to  $\mathfrak{M}_2$ .

We need the following:

LEMMA. If  $\chi$  is a multiplicative functional on  $\mathfrak{A}_i$ , then there exists a point  $p \in \mathfrak{M}_i \cup \gamma_i$  with  $\chi(f) = f(p)$ , all  $f \in \mathfrak{A}_i$ .

Proof.<sup>4</sup> (We omit the subscript  $i$  from  $\mathfrak{A}_i$ , etc.) By the general representation theorem for bounded linear functions on spaces of continuous functions, there is a measure  $\mu_0$  on  $\gamma$  with

$$\chi(f) = \int_{\gamma} f(\lambda) d\mu_0(\lambda), \quad f \in \mathfrak{A}.$$

Suppose now that the assertion of the Lemma is false. Then for each  $p \in \mathfrak{M} \cup \gamma$  there exists  $f_p \in \mathfrak{A}$  with  $\chi(f_p) = 0$  and  $f_p(p) \neq 0$ .

Let  $d\omega(\lambda)$  and  $W(\xi)$  have the same meaning as in the preceding sections. Let  $\mathfrak{M}_0$  be the region obtained by deleting from  $\mathfrak{M}$  the zeros of  $W$ .

Now for all  $f \in \mathfrak{A}$  and  $p$  in  $\mathfrak{M} \cup \gamma$

$$0 = \chi(f \cdot f_p) = \int_{\gamma} f(\lambda) f_p(\lambda) d\mu_0(\lambda).$$

Hence the measure  $f_p(\lambda) d\mu_0(\lambda)$  annihilates  $\mathfrak{A}$ . By Theorem 1, then we can

---

<sup>4</sup> Cf. L. Carleson [7], Theorem 4, for a similar method of proof.



find a function  $L_p$  analytic on  $\mathfrak{M}_0$  and with  $L_p(\xi)W(\xi)$  regular on  $\mathfrak{M}$ , such that  $L_p$  has nontangential boundary-values  $L_p(\lambda)$  for all  $\lambda$  in  $\gamma$  except for a set of  $\omega$ -measure 0, and with  $f_p(\lambda)d\mu_0(\lambda) = L_p(\lambda)d\omega(\lambda)$  as measures. Choose now  $p_1, p_2$  distinct in  $\mathfrak{M} \cup \gamma$ . Then

$$f_{p_2}(\lambda)f_{p_1}(\lambda)d\mu_0(\lambda) = f_{p_2}(\lambda)L_{p_1}(\lambda)d\omega(\lambda)$$

and

$$f_{p_1}(\lambda)f_{p_2}(\lambda)d\mu_0(\lambda) = f_{p_1}(\lambda)L_{p_2}(\lambda)d\omega(\lambda)$$

whence  $f_{p_2} \cdot L_{p_1} = f_{p_1} \cdot L_{p_2}$  a. e.— $d\omega$  on  $\gamma$ , whence by the result in [6] which we have quoted earlier,  $f_{p_2}(\xi)L_{p_1}(\xi) = f_{p_1}(\xi)L_{p_2}(\xi)$  for all  $\xi$  in  $\mathfrak{M}$ .

Fix now  $p_0$  in  $\mathfrak{M}$  and set  $F(\xi) = f_{p_0}^{-1}(\xi)L_{p_0}(\xi)$ . Since, for  $q \in \mathfrak{M}_0$ ,  $L_q$  and  $f_q^{-1}$  are regular at  $q$ , we obtain that  $F$  is regular at  $q$ . Thus  $F$  is analytic on all  $\mathfrak{M}_0$  and similarly we see that the covariant  $F(\xi)W(\xi)$  is analytic on all of  $\mathfrak{M}$ .

Next, for each  $q \in \gamma$ , we choose an arc  $\gamma_q$  on  $\gamma$  with  $|f_q(\lambda)| \geq \delta_q$  for  $\lambda$  in  $\gamma_q$ ,  $\delta_q$  being a positive number. By the Heine-Borel theorem, some finite set of these arcs covers  $\gamma$ . We can hence get  $\delta > 0$  and a decomposition  $\gamma = \bigcup_{i=1}^n \gamma_i$  where the  $\gamma_i$  are disjoint half-open arcs and for each  $i$  there is some  $q_i$  with  $|f_{q_i}(\lambda)| \geq \delta$  on  $\gamma_i$ .

Now,  $F(\xi) = f_{q_i}^{-1}(\xi)L_{q_i}(\xi)$  for  $\xi \in \mathfrak{M}$ , whence

$$F(\lambda) = \lim_{\xi \rightarrow \lambda} F(\xi) = f_{q_i}^{-1}(\lambda)L_{q_i}(\lambda) \text{ a. e. on } \gamma_i.$$

We now use annular coordinates  $r, \theta$ :  $r_0 \leq r \leq 1$ ,  $0 \leq \theta \leq 2\pi$  in an annular subregion of  $\mathfrak{M}$  bounded on one side by  $\gamma$ , with  $r=1$  being the equation of  $\gamma$ .

Let  $g \in \mathfrak{A}$ . Then for each  $i$

$$\int_{\gamma_i} g(\lambda)d\mu_0(\lambda) = \int_{\gamma_i} g(\lambda)f_{q_i}^{-1}(\lambda)L_{q_i}(\lambda)d\omega(\lambda) = \int_{\gamma_i} F(\lambda)g(\lambda)d\omega(\lambda).$$

Hence

$$\int_{\gamma} g(\lambda)d\mu_0(\lambda) = \int_{\gamma} g(\lambda)F(\lambda)(2\pi i)^{-1}W(\lambda)d\lambda.$$

Now if  $\gamma_\rho$  is the curve with equation:  $r = \rho$ ,  $\rho < 1$ ,

$$\int_{\gamma_\rho} F(\xi)g(\xi)W(\xi)d\xi = 0$$

by the residue theorem. Also

$$\lim_{\rho \rightarrow 1} \int_{\gamma_\rho} F(\zeta)g(\zeta)W(\zeta)d\zeta = \int_{\gamma} g(\lambda)F(\lambda)W(\lambda)d\lambda$$

due to the boundary behavior of the functions  $L_p$  and  $f_p$ . Hence

$$\chi(g) = \int_{\gamma} g(\lambda)d\mu_0(\lambda) = \frac{1}{2\pi i} \int_{\gamma} g(\lambda)F(\lambda)W(\lambda)d\lambda = 0.$$

This must hold for all  $g \in \mathfrak{A}$ , which is impossible. Hence the assertion of the Lemma must be true.

**COROLLARY.** *The space  $\mathfrak{S}$  of multiplicative functionals on  $\mathfrak{A}$  is homeomorphic to the set  $\mathfrak{M} \cup \gamma$ .*

*Proof.* By the Lemma, if  $\chi \in \mathfrak{S}$ , then there exists  $p \in \mathfrak{M} \cup \gamma$  with  $\chi(f) = f(p)$  for all  $f \in \mathfrak{A}$ . There cannot exist two distinct points  $p_1, p_2$  with this property, for if  $p_1 \neq p_2$  then for some  $f$  in  $\mathfrak{A}$ ,  $f(p_1) \neq f(p_2)$ . Hence the map  $\chi \rightarrow p$  takes  $\mathfrak{S}$  into  $\mathfrak{M} \cup \gamma$ . It is obviously one-one and it is onto  $\mathfrak{M} \cup \gamma$  since each  $p$  in  $\mathfrak{M} \cup \gamma$  defines some multiplicative functional on  $\mathfrak{A}$ . Finally, the map is easily seen to be bicontinuous.

*Proof of Theorem 3.* Let  $\tau$  be an algebraic isomorphism of  $\mathfrak{A}_1$  onto  $\mathfrak{A}_2$ . Fix  $p$  in  $\mathfrak{M}_2 \cup \gamma_2$ . Map each  $f$  in  $\mathfrak{A}_1$  into  $\tau(f)(p)$ . This map is a multiplicative functional on  $\mathfrak{A}_1$ , whence by the lemma there exists  $\phi(p)$  in  $\mathfrak{M}_1 \cup \gamma_1$  with  $\tau(f)(p) = f(\phi(p))$  if  $f \in \mathfrak{A}_1$ . The function  $\phi$  then maps  $\mathfrak{M}_2 \cup \gamma_2$  onto  $\mathfrak{M}_1 \cup \gamma_1$  in a one-one and bicontinuous fashion. It follows that  $\phi$  maps  $\mathfrak{M}_2$  homeomorphically onto  $\mathfrak{M}_1$ .

Fix  $p_0$  in  $\mathfrak{M}_2$  and  $f_0$  in  $\mathfrak{A}_1$  with  $f_0$  locally simple at  $\phi(p_0)$ . Then for  $p$  in some neighborhood of  $p_0$ ,  $f_0(\phi(p)) = \tau(f_0)(p)$ . Since  $f_0$  and  $\tau(f_0)$  are analytic functions and moreover  $f_0$  is one-one in a neighborhood of  $\phi(p_0)$ ,  $\phi$  is analytic at  $p_0$  as mapping from  $\mathfrak{M}_2$  to  $\mathfrak{M}_1$ . This holds for each  $p_0$  in  $\mathfrak{M}_2$  and further  $\phi$  is globally one-one. Hence  $\phi$  provides a conformal map of  $\mathfrak{M}_2$  onto  $\mathfrak{M}_1$ .

Conversely, suppose we are given a conformal map  $\phi$  of  $\mathfrak{M}_2$  on  $\mathfrak{M}_1$ . Classical results then give that  $\phi$  is extendable to a homeomorphism of  $\mathfrak{M}_2 \cup \gamma_2$  onto  $\mathfrak{M}_1 \cup \gamma_1$ . For each  $f$  in  $\mathfrak{A}_1$  we can then define  $\tau f$  on  $\mathfrak{M}_2 \cup \gamma_2$  as follows:  $\tau f(p) = f(\phi(p))$ ,  $p \in \mathfrak{M}_2 \cup \gamma_2$ . Then  $\tau f \in \mathfrak{A}_2$  and  $\tau$  is an isomorphism from  $\mathfrak{A}_1$  to  $\mathfrak{A}_2$ . This proves Theorem 3.

BROWN UNIVERSITY.

## REFERENCES.

- 
- [1] J. Wermer, "On algebras of continuous functions," *Proceedings of the American Mathematical Society*, vol. 4 (1953), pp. 866-869.
- [2] ———, "Algebras with two generators," *American Journal of Mathematics*, vol. 76 (1954), pp. 853-859.
- [3] R. Nevanlinna, *Uniformisierung*, Springer Verlag, 1953.
- [4] F. and M. Riesz, "Über Randwerte einer analytischen Funktion," *Quatrième Congrès des math. scandinaves*, 1916, pp. 27-44.
- [5] S. Banach, *Opérations Linéaires*, Warszawa, 1932.
- [6] N. N. Lusin and I. I. Privaloff, "Sur l'unicité et multiplicité des fonctions analytiques," *Annales de l'École Normale Supérieure*, ser. 3, vol. 42 (1925), pp. 143-191.
- [7] L. Carleson, "On bounded analytic functions and closure problems," *Arkiv för Matematik*, 2, 12 (1952), pp. 283-291.