

Constraints on the extremely high-energy cosmic ray accelerators from classical electrodynamicsF. A. Aharonian,¹ A. A. Belyanin,^{2,3} E. V. Derishev,^{1,3} V. V. Kocharovskiy,^{2,3} and V. V. Kocharovskiy³¹*MPI für Kernphysik, Saupfercheckweg 1, D-69117 Heidelberg, Germany*²*Department of Physics, Texas A&M University, College Station, Texas 77843-4242*³*Institute of Applied Physics RAS, 46 Ulyanov St., 603950 Nizhny Novgorod, Russia*

(Received 13 February 2002; published 18 July 2002)

We formulate the general requirements, set by classical electrodynamics, on the sources of extremely high-energy cosmic rays (EHECRs). It is shown that the parameters of EHECR accelerators are strongly limited not only by the particle confinement in large-scale magnetic fields or by the difference in electric potentials (generalized Hillas criterion) but also by the synchrotron radiation, the electro-bremsstrahlung, or the curvature radiation of accelerated particles. Optimization of these requirements in terms of an accelerator's size and magnetic field strength results in the ultimate lower limit to the overall source energy budget, which scales as the fifth power of attainable particle energy. Hard γ rays accompanying generation of EHECRs can be used to probe potential acceleration sites. We apply the results to several populations of astrophysical objects—potential EHECR sources—and discuss their ability to accelerate protons to 10^{20} eV and beyond. The possibility of gain from ultrarelativistic bulk flows is addressed, with active galactic nuclei and gamma-ray bursts being the examples.

DOI: 10.1103/PhysRevD.66.023005

PACS number(s): 98.70.Sa, 95.30.Gv

I. INTRODUCTION

The existence of extremely high-energy cosmic rays (EHECRs) with energies above 10^{20} eV is now a well-established fact (see, e.g., [1] and [2] for a review). The nearly perfect isotropy of the highest-energy cosmic rays points to their likely extragalactic origin. On the other hand, the sources must be located within 100 Mpc: the propagation distance for EHECRs of energy greater than 5×10^{19} eV is limited due to their interaction with the microwave background radiation [3,4], unless there are some yet unknown type(s) of particles that do not undergo such an interaction.

The presence of nonthermal particles is a usual feature of any plasma system. However, finding a way to accelerate these particles to 10^{20} eV is a serious theoretical challenge. Even if the electro-dynamical system used to accelerate charged particles is sufficiently long lived, the attainable energy is limited since the particles can only stay within the acceleration region until their gyroradius exceeds the size of the region. (This is known as the Hillas criterion [5].) Various types of model- and source-dependent radiative and collisional losses decrease the terminal particle energy further. But even if one finds a way to circumvent the latter obstacle, there are radiative losses caused by the same electromagnetic field that is used to accelerate the particles. For example, Greisen [6] considered the synchrotron losses and showed that for very small-sized accelerators, i.e., for those having a very strong magnetic field, the synchrotron radiation drains energy so fast that even a particle accelerated at the largest possible rate for magnetic-field dominated environments, qBc (q is the particle charge, B the magnetic field strength, c the velocity of light), is not able to achieve the energy given by the Hillas criterion. However, in some objects accelerated particles can move along the magnetic field lines (neutron stars are a perfect example of that), without suffering from the synchrotron losses.

In this paper, we relax the requirements on the accelera-

tion sites as much as possible, assuming that the inhomogeneity of electric and magnetic fields is weak enough not to cause particle diffusion out of the acceleration site and taking into account only the radiation mechanism which gives the lowest energy loss rate. In fact, even if the synchrotron losses (or the electro-bremsstrahlung in the case of electric-field dominated environments) tend to zero, i.e., for particles moving along smooth field lines, there is still curvature radiation, which then limits the maximal particle energy. Furthermore, we generalize the analysis introducing acceleration efficiency parameter η , so that the acceleration rate is given by

$$\dot{\epsilon} = \eta q B c, \quad (1)$$

where $\eta B = E_{\text{eff}}$ is the projection of an electric field on the particle trajectory, effectively averaged as the particle moves along this trajectory. Such a representation is useful when, e.g., the diffusive shock acceleration scenario is brought into consideration.

We derive the ultimate lower limit for the total electromagnetic energy stored in the acceleration region and find the size and the field strength that are optimal with respect to minimization of this energy. Then we consider the possible gain from relativistic motion of an accelerator as a whole and discuss what kind of additional information one gets from analysis of hard γ photons generated as a by-product of cosmic ray acceleration. Finally, we make a comparison of theoretical constraints with the parameters of known astrophysical objects.

II. ELECTRODYNAMICAL LIMITATIONS

Let us consider a region of space of a size R where particle acceleration occurs. A natural assumption is that there is nothing like linear accelerator geometry in this region, but instead the field lines of both electric and magnetic fields are

curved, with the curvature radius being of the order of R or less.

If the magnetic field is much stronger than the electric field, $B \gg E$, which seems to be the case in the majority of cosmic objects, then a test particle is accelerated following a magnetic field line in its trajectory.

When $E \geq B$ but $(\vec{E} \cdot \vec{B}) \sim EB$, then the particle's trajectory depends on the radiative loss rate. A high loss rate will force the particle to follow a field line (of the electric field in this case) since the component of the particle's momentum transverse to the field line will quickly decay due to an increase in radiative losses. In the case of a low loss rate, the field geometry will have little effect on the particle's trajectory which therefore will make an angle of $\sim 45^\circ$ with the field lines.

The remaining case, where both Lorentz invariants $(\vec{E} \cdot \vec{B})$ and $|E^2 - B^2|$ are much smaller than $E^2 + B^2$, can be reduced to one of the already mentioned situations by the appropriate Lorentz transformation to the reference frame in which the mean (after averaging in space and time) Poynting flux density becomes zero.

In what follows we assume that the accelerator's lifetime is at least as large as the acceleration time scale and use the most optimistic premise that the stronger of the magnetic and electric fields is regular on scales $\ll R$ and quasistatic. (An alternating field direction implies that particles escape more readily and that the time-averaged acceleration rate is smaller, while the radiative losses are roughly the same.) Then, the effect of a small-scale magnetic field can be neglected if $E > B$, and in the case $B > E$ the factor $\eta < 1$ takes into account all necessary averaging for a small-scale and/or variable electric field, so that $E_{\text{eff}} = \eta B$.

The particle can be accelerated up to the terminal energy, which is the smaller of either the work done by the accelerating force in the time it takes for a particle to escape from the region, or the energy at which radiative losses balance the acceleration. The balance condition requires that the radiative loss rate $\dot{\epsilon}_{\text{rad}}$ is equal to the energy gain rate, i.e.,

$$\dot{\epsilon}_{\text{rad}} = \frac{2}{3} \gamma^4 \frac{q^2}{R^2} c = \eta q B c \quad (2)$$

in the case of curvature-radiation dominated losses [7] (the particle is assumed to move along an arc of radius R), or

$$\dot{\epsilon}_{\text{rad}} = \frac{2}{3} \gamma^2 \left(\frac{q^2}{mc^2} \right)^2 c (B_\perp^2 + E_\perp^2) = \eta q B c \quad (3)$$

in the case of synchrotron- or electro-bremsstrahlung dominated losses. Here γ is the Lorentz factor of the particle, m is its mass, and B_\perp and E_\perp are the rms field components perpendicular to the particle momentum.

A comparison of Eqs. (2) and (3) shows that the curvature radiation gives a more favorable (smaller) estimate for the radiative losses as long as the particle energy $\epsilon = \gamma mc^2$ satisfies the condition

$$\epsilon < qR \sqrt{B_\perp^2 + E_\perp^2}. \quad (4)$$

If the above condition were violated, it would mean one of the following. Either the particle is no longer bound in the acceleration region, i.e., its gyroradius $r_H = \epsilon / (qB_\perp)$ is larger than R (when $r_H \geq R$, the very idea of curvature radiation becomes meaningless) and acceleration terminates upon the particle escape at $\epsilon \leq qRB_\perp$, or, if $B_\perp \ll E_\perp$, the particle is unbound from the very beginning and its energy gain is limited by the difference of electric potentials across the acceleration region, $\epsilon \leq qRE_{\text{eff}} = \eta qRB$. This should be treated as a generalized version of the Hillas criterion.

Hereafter we neglect numerical factors of ~ 1 assuming $B = B_\perp$ and $E = E_\perp = E_{\text{eff}} = \eta B$. In general, one should bear in mind that for an inhomogeneous small-scale field the rms quantity E^2 must be replaced by $\langle E^2 \rangle = E^2 / \delta$, where $\delta < 1$ is a duty factor. (The same is true for the magnetic field, although situations where $E > B$ and the magnetic field has the duty factor $\delta \ll 1$ seem quite unrealistic.) We assume below $\delta = 1$, aiming at the most efficient acceleration. Thus, the condition given by Eq. (4) cannot be violated, as it satisfies the generalized Hillas criterion,

$$\epsilon_{\text{max}} < qR \max\{B, E\}. \quad (5)$$

Since we are interested in the most efficient acceleration (i.e., the highest acceleration rate at smallest radiative losses), it is Eq. (2) that will be used below to put the lower limit on the radiative loss rate. Then, we conclude that the maximal energy of a particle is either determined by the balance condition given by Eq. (2),

$$\epsilon_{\text{max}}^4 = \frac{3}{2} \frac{\eta BR^2}{q} (mc^2)^4, \quad (6)$$

or limited by Eq. (5), whichever gives the smaller value.

It should be noted that in special field geometries a narrow tube within the accelerator's volume may be occupied by field lines with curvature radius R_c larger than the size of the accelerator. If this is the case, and if acceleration is radiative-loss limited, then the maximal energy of a particle scales as $\sqrt{R_c/R}$, as pointed out in [8], and the ratio R_c/R should be treated as an independent parameter.

The overall energy of an electromagnetic field in a spherical region of radius R , capable of accelerating particles up to ϵ_{max} , is $W = R^3(B^2 + E^2)/6$. According to Eqs. (6) and (5), respectively, it is limited as follows:

$$W > \frac{2}{27} \frac{q^2}{R} \left(\frac{\epsilon_{\text{max}}}{mc^2} \right)^8 \frac{1 + \eta^2}{\eta^2} \quad (7a)$$

and

$$W > \frac{R}{6} \left(\frac{\epsilon_{\text{max}}}{q} \right)^2. \quad (7b)$$

Our interest is to lower the energy requirements, taking R as large as possible in Eq. (7a) and as small as possible in Eq. (7b). Doing this, we derive the optimal size

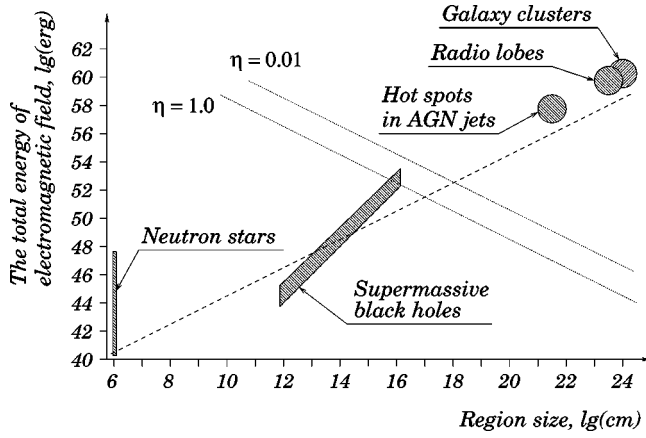


FIG. 1. The energy requirements for protons of energy 10^{20} eV: Eq. (7a), dotted lines (for acceleration efficiencies $\eta=1$ and $\eta=10^{-2}$), and Eq. (7b), dashed line, as functions of the size of the acceleration region.

$$R^{(\text{opt})} \approx \frac{2}{3} \frac{\sqrt{1+\eta^2}}{\eta} \frac{q^2 \varepsilon_{\text{max}}^3}{(mc^2)^4}, \quad (8)$$

such that both conditions become quantitatively the same. This finally gives the optimal (the minimum possible) estimate for the amount of electromagnetic energy stored in the acceleration region:

$$W^{(\text{opt})} \approx \frac{1}{9} \frac{\sqrt{1+\eta^2}}{\eta} \frac{\varepsilon_{\text{max}}^5}{(mc^2)^4}. \quad (9)$$

The corresponding optimal strength of the magnetic field is

$$B^{(\text{opt})} \approx \frac{3}{2} \frac{\eta}{1+\eta^2} \frac{(mc^2)^4}{q^3 \varepsilon_{\text{max}}^2}, \quad (10)$$

and $E^{(\text{opt})} = \eta B^{(\text{opt})}$.

There is an uncertainty in Eqs. (8), (9), and (10), which comes from the possible existence of less curved field lines. Tracing the factor R_c/R through the above derivations, one obtains the following scaling:

$$W^{(\text{opt})}, R^{(\text{opt})} \propto (R_c/R)^{-2}, \quad B^{(\text{opt})} \propto (R_c/R)^2. \quad (11)$$

Here the values of $W^{(\text{opt})}$ and $B^{(\text{opt})}$ are related to the whole quasispherical acceleration region of size $R^{(\text{opt})}$.

The energy requirements given by Eqs. (7) as functions of accelerator size are presented in Fig. 1. The required accelerator energy increases as the region size deviates from the optimal one, i.e., from the intersection point of the two lines that represent the energy requirements for radiative-loss-limited and escape-limited regimes. A smaller region will accelerate particles to the energy ε_{max} only if the energy budget is increased by $R^{(\text{opt})}/R$ times; in a larger region, the energy budget has to be increased by $R/R^{(\text{opt})}$ times.

To have an idea of typical numbers, let us make estimates for a proton of energy $\varepsilon_{\text{max}} = 10^{20}$ eV. In this case, the total electromagnetic energy in the acceleration region should exceed $W^{(\text{opt})} \approx 3 \times 10^{51}$ erg; the optimal size $R^{(\text{opt})}$ is of the

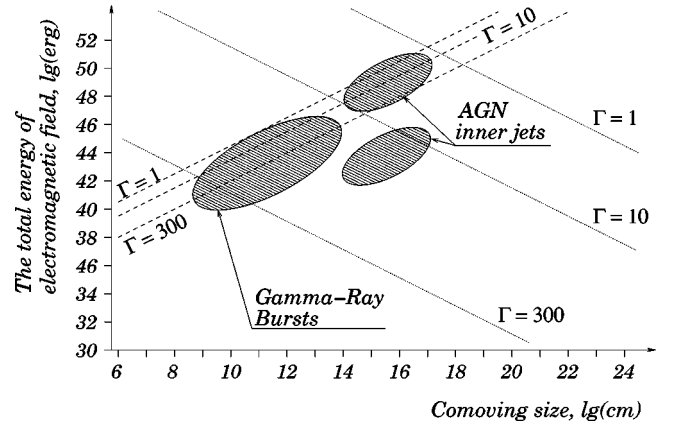


FIG. 2. The energy requirements for protons of energy 10^{20} eV. Equation (7a), dotted lines (for accelerator at rest, $\eta=1$, and for bulk Lorentz factors $\Gamma=10$ and $\Gamma=300$), scales as Γ^{-7} , and Eq. (7b), dashed lines, scales as Γ^{-1} , as functions of the comoving size of an acceleration region $R'=R/\Gamma$. For active galactic nuclei (AGN) inner jets, the upper zone corresponds to hadronic models of high-energy γ -ray emission, and the lower zone to leptonic models.

order of 10^{17} cm and the optimal magnetic field is of ~ 3 G. When making these estimates we assumed $\eta=1$ to lower the energy requirements. Taking η still larger, i.e., taking the electric field larger than the magnetic field, does not reduce them too much, by a factor of 2 at most.

All the results obtained are valid also for an accelerator that moves as a whole with the Lorentz factor $\Gamma \gg 1$, if the quantities are measured in the comoving frame. However, it is more convenient to present the results in a form where $W^{(\text{opt})}$ and ε_{max} are measured in the laboratory frame, while $R^{(\text{opt})}$, $B^{(\text{opt})}$, and $E^{(\text{opt})}$ are measured in the comoving frame. Then, after the substitution $\varepsilon_{\text{max}} = \Gamma \varepsilon'_{\text{max}}$,

$$W_{\text{rel}}^{(\text{opt})} = \frac{W^{(\text{opt})}}{\Gamma^4}, \quad (12a)$$

$$R'_{\text{rel}}{}^{(\text{opt})} = \frac{R^{(\text{opt})}}{\Gamma^3}, \quad (12b)$$

$$B'_{\text{rel}}{}^{(\text{opt})} = \Gamma^2 B^{(\text{opt})}, \quad (12c)$$

where the primed quantities are measured in the comoving frame. A comparison of the energy requirements given by Eqs. (7), generalized for the case $\Gamma \gg 1$, for accelerators with different bulk Lorentz factors is presented in Fig. 2.

Apparently, the acceleration of EHECRs in ultrarelativistic bulk flows has the advantage of bringing the optimal source size to a more “comfortable” (for short-lived phenomena like gamma-ray bursts) range and reducing the energy requirements on the source. However, for windlike relativistic flows the actual requirements are geometry dependent. Indeed, for causality reasons, the acceleration region does not occupy the whole sphere of radius R , but rather extends to a distance $R'=R/\Gamma$ transverse to the radius and to a distance R'/Γ along it, so that the total energy within the volume of radius R is $\sim \Gamma^4 W$ for a wind with a broad beam

pattern. Since the energy stored in the acceleration region, W , is multiplied by Γ^4 in this case, one can only gain from a more favorable ratio $R'/R^{(\text{opt})}$ [cf. Eq. (12a)]. A jet geometry is more favorable: with the jet opening angle $\approx 1/\Gamma$ the energy requirements can be reduced by a factor as large as Γ^2 , with respect to the nonrelativistic accelerator. To implement the advantage of relativistic bulk motion to the full extent, i.e., to get a gain by a factor of Γ^4 , one needs a transient jet source that lasts for a time $\approx R/(\Gamma^2 c)$.

III. CONSTRAINTS DUE TO RADIATION FROM ACCELERATION SITES

Before moving to an overview of different source populations, let us discuss some implications regarding the acceleration time scale and the electromagnetic radiation accompanying the acceleration process.

When $\eta \geq 1$, a particle is accelerated up to ε_{max} in a time $t_{\text{acc}} \leq R/c$, which is less than or comparable to the dynamical time scale of an accelerator. However, at low efficiency $\eta \ll 1$, the acceleration may take a longer time, i.e., $\eta^{-1}(R/c)$, if the accelerator's size is larger than $R^{(\text{opt})}$, or $\eta^{-1}(R/R^{(\text{opt})})(R/c)$ otherwise. Relativistic motion of an accelerator usually implies relativistic expansion. Consequently, the lifetime is of order R/c , so that small η strongly disfavors such objects unless their size is $\leq \eta R^{(\text{opt})}$, which would allow a particle to attain the energy ε_{max} .

The acceleration of particles goes side by side with the emission of hard electromagnetic radiation. The lower limit on the energy lost to this radiation is given by the product of t_{acc} and the radiative loss rate [Eq. (2), where it is convenient to substitute γ^3 from Eq. (8)]. However, if $t_{\text{acc}} < R/c$ one must integrate the loss rate over a time of order R/c , since a particle cannot leave the acceleration region in a shorter time. As a result of the particle's acceleration, the following fraction of its terminal energy ε_{max} is lost to γ radiation:

$$\frac{E_{\text{rad}}}{\varepsilon_{\text{max}}} \geq \begin{cases} R^{(\text{opt})}/R & \text{if } R > R^{(\text{opt})} \\ 1 & \text{if } R^{(\text{opt})} > R > \eta R^{(\text{opt})} \\ \eta R^{(\text{opt})}/\sqrt{1 + \eta^2 R} & \text{if } R < \eta R^{(\text{opt})}. \end{cases} \quad (13)$$

If $\eta > 1$, then the first and the last lines in Eq. (13) become identical, while the second line is excluded. Acceleration in small objects ($R < R^{(\text{opt})}$) is always limited by radiative losses.

The spectral energy distribution of the accompanying radiation has a maximum (in the source frame) around

$$\varepsilon_{\gamma} \sim \hbar \frac{\gamma^3 c}{R} \sim \eta \frac{R^{(\text{opt})}}{R} \frac{m c^2}{\alpha Z^2}, \quad (14)$$

provided the accelerator size is less than $R^{(\text{opt})}$ and $\eta \leq 1$; α is the fine-structure constant and Z the ion charge in units of the elementary charge. The energy of curvature radiation photons given by Eq. (14) is related to the energy of synchrotron photons as $\varepsilon_{\gamma} = (r_H/R) \varepsilon_{\gamma}^{\text{sy}}$.

The ratio of the luminosity in accompanying radiation to the luminosity in accelerated particles, $L_{\gamma}/L_{\text{acc}}$, as well as

the energy of individual photons, is reduced if the acceleration time is limited to R/c , as in sources with relativistic bulk motion (particle diffusion may lead to an analogous limit), but this is at the expense of reducing ε_{max} . In the case where $R^{(\text{opt})} > R > \eta R^{(\text{opt})}$, the corresponding factors for $L_{\gamma}/L_{\text{acc}}$, ε_{γ} , and ε_{max} are $(\eta R^{(\text{opt})}/R)^4$, $(\eta R^{(\text{opt})}/R)^3$, and $(\eta R^{(\text{opt})}/R)$, respectively, since the radiative loss rate [Eq. (2)] and the energy of individual photons [Eq. (14)] scale as γ^4 and γ^3 , while the particle's Lorentz factor increases linearly with time. Note that if the maximal energy were limited by the synchrotron rather than curvature losses, then acceleration up to ε_{max} would not be possible at all in objects smaller than $R^{(\text{opt})}$ if $\eta \leq 1$, as noted in [6].

The check whether the sky-averaged luminosity in the accompanying electromagnetic emission exceeds the diffuse background is a powerful selection tool for possible acceleration sites. For example, the acceleration of protons with high efficiency, $\eta \approx 1$, results in γ radiation in the energy range ≥ 100 GeV [if $R \leq R^{(\text{opt})}$, see Eq. (14)]. Such photons undergo reprocessing into the 10 MeV–100 GeV energy range through interaction with the cosmic infrared and microwave backgrounds. The observed spectral energy distribution of the diffuse γ -ray background, $\sim 10^{-9}$ erg/(cm² s sr)⁻¹ [9], is virtually constant in the 10 MeV–100 GeV spectral domain, and the observed flux of 10^{20} eV cosmic rays is $\sim (2-5) \times 10^{-12}$ erg/(cm² s sr)⁻¹ [2], i.e., 200–500 times less. But the Greisen-Zatsepin-Kuzmin (GZK) effect limits the distance to which the sources of 10^{20} eV cosmic rays can be observed to ~ 30 Mpc, while the related γ radiation is visible up to a redshift $z \sim 1$, i.e., the distance ≈ 3 Gpc. If the accelerators are uniformly distributed in the Universe and no other contributions to the γ -ray background are taken into account, this implies $L_{\gamma}/L_{\text{acc}} \leq 5$ and hence $R/(\eta R^{(\text{opt})}/\sqrt{1 + \eta^2}) \geq 1/5$ [see Eq. (13)]. Since the spectral energy distribution of the γ -ray background is nearly constant in the 10 MeV–100 GeV range, the same limit applies for iron nuclei, although their individual γ luminosity is concentrated in the lower-energy spectral domain ≥ 10 GeV [see Eq. (14), which gives the scaling $\varepsilon_{\gamma} \propto A/Z^2$].

The accompanying radiation may also help in identification of acceleration sites. The electrons are more suitable for this purpose as they can achieve the radiative-loss limited regime of acceleration in an object with much smaller energy content. For example, there is excess emission in the 100 MeV range from the pulsar wind termination shock in the Crab plerion, which was interpreted as electron synchrotron radiation [10]. Interestingly, the sizes of this region, $\sim 10^{17}$ cm, and its estimated magnetic field, $\sim 10^{-4}$ G, are very close to the optimal values for electrons accelerated at the efficiency $\eta = 1$. The electrons then attain the energy $\approx 3 \times 10^{15}$ eV and the peak of their synchrotron spectrum is at ≈ 100 MeV.

So far, we did not take into account the proton interaction with the smallest-scale electromagnetic field, which results in photomeson reactions (at wavelengths < 0.04 cm or photon energies $> 2 \times 10^{-3}$ eV for a 10^{20} eV proton). Such an interaction, with the cross section $\sigma_{p\gamma} \approx 2 \times 10^{-28}$ cm² and

inelasticity $f \approx 0.5$, may in some cases lead to unacceptably high energy losses for the accelerated particles. For illustration, we make the estimate for 10^{20} eV protons and for the most favorable case $\eta = 1$. In order to allow accelerated protons to escape without significant losses, the luminosity of the source at the maximum of the integral photon spectrum must be

$$L < \pi \frac{Rc}{f\sigma_{p\gamma}} \bar{\varepsilon} \\ \approx 1.5 \times 10^{44} \left(\frac{R}{10^{17} \text{cm}} \right) \left(\frac{\bar{\varepsilon}}{1 \text{ eV}} \right) \text{erg/s}, \quad (15)$$

where $\bar{\varepsilon}$ is the location of the maximum. At a given luminosity, the above equation allows one to find the minimum distance to a source of soft photons at which acceleration of protons should take place.

IV. OVERVIEW OF POSSIBLE ACCELERATION SITES

A. Neutron stars

Because of their size, which is far too small compared to $R^{(\text{opt})}$, the performance of neutron stars as cosmic ray accelerators is limited by the curvature losses (see, e.g., [7]). For 10^{20} eV EHECRs, the energy requirements for neutron star magnetospheres are unphysical: $\sim 10^{62}$ erg for protons and $\sim 10^{51}$ erg for iron nuclei. Acceleration of heavier nuclei, with mass $A \sim 150$ and charge $Z \sim 50$, to a slightly smaller energy 5×10^{19} eV is marginally possible for rapidly rotating (i.e., $\eta \sim 1$) neutron stars with magnetic field $B \sim 10^{15}$ G (so-called magnetars). However, the question whether such nuclei can be efficiently transported to the neutron star surface and ejected from it remains open. In addition, the luminosity in the accompanying 10–100 TeV curvature γ radiation (which is likely to be reprocessed through electromagnetic cascade into the 1–100 MeV range) would be 5 to 6 orders of magnitude higher than in the EHECRs produced. Particles accelerated near the light cylinder produce less accompanying radiation, but the energy requirements rise in this case. Therefore, magnetospheres of neutron stars seem to be excluded from the list of EHECR sources.

A possible way out of the problem of catastrophic overproduction of the accompanying radiation is acceleration of particles within ultrarelativistic pulsar winds. However, the energy constraints, which now have to be reformulated in terms of the required Poynting-flux luminosity $L_{\text{e.m.}} \sim \Gamma^4 Wc/R$ for a wind with a broad beam pattern, remain extremely tough (see the discussion at the end of Sec. II). The best case ($R' = R^{(\text{opt})}$ and $\eta = 1$) for 10^{20} eV protons corresponds to $\Gamma \lesssim 600$ and $L_{\text{e.m.}} \approx 10^{45} \Gamma^2$ erg/s. With iron nuclei the figures become $\Gamma \lesssim 60$ and $L_{\text{e.m.}} \approx 1.5 \times 10^{42} \Gamma^2$ erg/s. In any case, the required luminosity is far beyond any of the observed values.

B. Black holes

Rapidly rotating black holes embedded in a magnetic field can generate electric field and accelerate particles (e.g., [8,11]); in [8] it is also pointed out that such accelerators can reveal themselves through the curvature radiation from accelerated protons, which falls into the TeV energy range. We make an (optimistic) estimate assuming that the magnetic field is roughly in equipartition with accreted plasma, the accretion rate corresponds to the Eddington regime, and acceleration occurs within $3R_g$, where $R_g \approx 3 \times 10^5 (M/M_\odot)$ cm is the Schwarzschild radius of a black hole of mass M . The energy $W \sim \pi m_p c^2 R_g^2 / \sigma_T$ is available in the acceleration region (m_p is the proton mass, and $\sigma_T \approx 6.6 \times 10^{-24}$ cm² the Thomson cross section). This is to be compared with the required energy ($R^{(\text{opt})}/3R_g$) $W^{(\text{opt})}$, which yields

$$R_g > \left(\frac{2}{81\pi} \sigma_T \frac{1 + \eta^2}{\eta^2} \frac{q^2 \varepsilon_{\text{max}}^8}{(mc^2)^8 m_p c^2} \right)^{1/3} \\ \approx 3 \times 10^{15} Z^{2/3} A^{-8/3} \text{cm}. \quad (16)$$

This lower limit for the size of the acceleration region associated with a black hole is obtained under the assumption that this smallest allowed size is not larger than the optimal one, i.e., $3R_g \leq R^{(\text{opt})}$, which turns out to be true for any species of accelerated particle ranging from protons to iron nuclei.

If the accelerated particles are protons, then only supermassive black holes with $M > 10^{10} M_\odot$ can meet the above requirement (although the existence of less curved field lines may possibly reduce this limit by a factor of a few). On the other hand, a lighter hole of $M > 2 \times 10^6 M_\odot$ can accelerate iron nuclei, and, since the size of such a hole is close to the optimal one or even exceeds it ($3R_g \geq R^{(\text{opt})} \approx 8 \times 10^{12}$ cm), this would not be at odds with the observed diffuse γ -ray background.

C. Active galactic nuclei

This phenomenon is due to ejection of a relativistic jet by a massive black hole interacting with an accretion disk around it. Inner jets in AGNs typically have Lorentz factors around 10. The estimate of the magnetic field depends on the radiation model. For leptonic models which imply high-energy γ -ray production through inverse Compton scattering, the magnetic field is ~ 0.1 G (e.g., [12]). In hadronic models, in particular in the extreme proton-synchrotron model, the field strength exceeds 100 G [13]. Furthermore, the size of the acceleration region, 10^{14} – 10^{16} cm as inferred from the variability time scale, is close to the optimal value for 10^{20} eV protons. This eliminates problems with the γ -ray background and minimizes the energy requirements which constrain the Poynting-flux luminosity of the source in the case of a relativistic outflow. This luminosity ($\Gamma Wc/R'$) must be higher than $\sim 10^{45}$ erg/s [or a factor of $(\theta\Gamma)^2$ more if the opening angle of the jet, θ , is larger than Γ^{-1}], which is reasonable for a typical AGN.

D. Large accelerators

There are three kinds of very large objects that have been proposed to produce EHECRs: knots (or hot spots) in large-scale AGN jets, radio lobes (shocked regions), and galaxy clusters (e.g., [5,14,15]). Despite the fact that their sizes are far from the optimum, ~ 1 kpc, ~ 100 kpc, and ~ 1 Mpc, respectively, the energy of the magnetic field is enough to bind 10^{20} eV protons. The limits on these objects are better formulated in terms of the requirements on the acceleration efficiency η , and they are likely to arise from particle diffusion. In all cases there is about 1000-fold excess of energy stored in the magnetic field with respect to the limit shown by the dashed line in Fig. 1. Therefore, if particles escape on the Bohm-diffusion time scale $\sim 3R^2/(r_H c)$, a rather high acceleration efficiency $\eta \gtrsim 0.03$ is necessary. Even if the diffusion is strongly suppressed (e.g., a special configuration of magnetic field lines), there are limits on η . It must be larger than $\sim (0.3-1) \times 10^{-2}$ in radio lobes and galaxy clusters, otherwise protons are accelerated so slowly that they start to lose energy on interacting with the cosmic microwave background, which eventually stops acceleration. In hot spots, with their stronger magnetic field up to $B \sim 10^{-3}$ G, η can be as small as a few $\times 10^{-5}$, in which case their size becomes slightly smaller than the optimal one, leading to a further increase in energy requirements.

E. Gamma-ray bursts

These explosionlike events have been argued to be suitable places for acceleration of EHECRs (e.g., [16–18]), owing to their compactness (variability time scale less than a second), high apparent (4π) luminosity of the order of 10^{52} erg/s, and ultrarelativistic bulk flows with the Lorentz factors $\Gamma \sim 100-1000$, which are the key ingredients of any gamma-ray burst (GRB) model (see, e.g., [19] for a review). Acceleration may take place either in internal shocks formed within the outflow (at a distance $10^{10}-10^{13}$ cm from the central engine) by colliding shells of different Lorentz factors or in the external shock (at a distance $10^{16}-10^{17}$ cm) at the interface between ejected material and the interstellar gas. The distance from which the accelerated protons can escape is, however, limited at least by their interaction with the intense thermal photon field left after adiabatic expansion of the relativistic fireball. The escape distance is (in the shock comoving frame)

$$R'_{\text{esc}} \simeq 0.08 \frac{f \sigma_p \gamma L}{\pi \Gamma^3 c T} \simeq \frac{2 \times 10^{17} \text{ cm}}{\Gamma^3} \frac{L}{10^{52} \text{ erg/s}} \frac{3 \text{ MeV}}{T}, \quad (17)$$

where L is the GRB 4π luminosity and T the temperature at the base of the fireball, $T \sim 3$ MeV.

Equations (12b) and (17) scale with the same power of Γ , so that the ratio between R'_{esc} and $R'^{(\text{opt})}$ depends in practice only on the GRB luminosity, and the escape distance appears to be larger than the optimal size, unless the GRB has un-

usually low luminosity. Therefore, radiative losses can be neglected and the maximal particle energy is $\varepsilon'_{\text{max}} = \eta q B' c (R'/c)$. With the magnetic field related to the GRB 4π luminosity via the parameter ε_m , which is the fraction of energy carried in the form of the magnetic field, this gives

$$\varepsilon_{\text{max}} = \Gamma \varepsilon'_{\text{max}} = \frac{\eta \varepsilon_m^{1/2}}{\Gamma} q \sqrt{\frac{2L}{c}} \lesssim \frac{2.5 \times 10^{23} \text{ eV}}{\Gamma}. \quad (18)$$

Note that the maximal energy ε_{max} decreases with increasing bulk Lorentz factor Γ (if there is no strong correlation between L and Γ). This can be understood following the same reasoning as at the end of Sec. II: increasing the Lorentz factor allows one to gain only from a more favorable ratio $R'^{(\text{opt})}R'$, but for GRBs the escape distance is larger than the optimal size, so that the increase in Γ makes things worse.

Since explanation of some GRB properties, such as rapid variability and the presence of emission in the 10 GeV domain [20], requires $\Gamma > 100$, we conclude that GRBs might be capable of accelerating protons well above 10^{20} eV. However, to match the measured cosmic ray flux a very large fraction of the observed GRB energy has to be converted into EHECRs. Under the simplest assumptions this fraction is at least 50%, although there is an uncertainty of a factor of a few depending on GRB properties which are not known precisely, such as the time evolution of the burst rate.

V. CONCLUSION

Radiation losses, due to either synchrotron or curvature radiation, play an increasing role in the energy balance of accelerated particles with decreasing size (and accordingly increasing magnetic field) of the accelerator. In sufficiently compact objects the radiative losses limit maximal particle energy to the values that are smaller than those determined from the Hillas criterion. Thus, there are an optimal size and magnetic field strength that minimize the requirements on internal energy of the accelerator. For 10^{20} eV protons and acceleration efficiency $\eta = 1$, the optimal size is $R'^{(\text{opt})} \simeq 10^{17}$ cm and the optimal magnetic field is $B'^{(\text{opt})} \simeq 3$ G. This corresponds to the total electromagnetic energy in the acceleration region $W^{(\text{opt})} \simeq 3 \times 10^{51}$ erg. Relativistic bulk motion reduces the energy requirements and the optimal comoving size, while increasing the optimal comoving magnetic field. The Lorentz factor $\Gamma = 10$ (as in AGNs) leads to $R'^{(\text{opt})} \simeq 10^{14}$ cm and $B'^{(\text{opt})} \simeq 300$ G, corresponding to the total electromagnetic energy $W^{(\text{opt})} \simeq 3 \times 10^{47}$ erg in a region of size $R'^{(\text{opt})}$. At a Lorentz factor $\Gamma \simeq 300$, typical for GRBs, the optimal size becomes so small, $R'^{(\text{opt})} \sim 4 \times 10^9$ cm, that the protons have to be accelerated at a larger distance to avoid photomeson losses.

Efficient acceleration in optimally sized objects leads to significant radiative losses, which in this case occur in a regime transitional between the synchrotron and the curvature radiation. The emission is concentrated at photon energies of $\sim 100-300$ GeV (protons) and $\sim 50-150$ MeV (electrons) times the bulk Lorentz factor. This suggests the use of a new generation of air-Cherenkov telescopes and

GLAST, respectively, for a search for efficient cosmic accelerators.

The derived constraints seem to firmly exclude any known population of neutron stars as acceleration sites of EHECRs. Black holes also appear to be unable to accelerate protons to 10^{20} eV except for the supermassive ones with masses $M \gtrsim 10^{10} M_{\odot}$, although acceleration of heavy nuclei by lighter holes is still a possibility. Other astrophysical objects considered above are able, in principle, to accelerate EHECRs, although the required efficiency η is almost always comparable to unity, except for a rather artificial situation where one considers large accelerators and neglects particle diffusion. In the standard treatment of the problem, such an efficiency is difficult to achieve even in ultrarelativistic shocks [21]. In addition, energy losses due to photomeson reactions may be a serious problem for the radiation-rich environments of supermassive black holes and for GRB sources.

The results obtained in this paper are the unavoidable limits, set by the laws of classical electrodynamics. Taking into account the effects of diffusion, the energy losses via the photomeson process, the energy content of particles and waves in cosmic plasma, etc., should lead to still stronger limits. However, they cannot, of course, constrain the top-down models of the origin of EHECRs (e.g., [22]), which make use of quantum effects and nonelectromagnetic interactions.

In view of the development of advanced experiments that aim at detection of cosmic rays above 10^{21} eV, it is worth noting what is the highest energy of EHECRs still consistent

with the acceleration scenario of their origin. Plotting diagrams similar to Figs. 1 and 2, but for different values of ε_{\max} , one can see that in GRBs and AGN inner jets protons can in principle be accelerated up to 10^{21} eV. At such energies, acceleration is likely to be radiative-loss limited in both cases. Above 10^{21} eV GRBs fail because of their insufficient duration and AGN inner jets—because of insufficient Poynting-flux luminosity. Hot spots, radio lobes, and galaxy clusters can still work to $(3-5) \times 10^{21}$ eV under the very speculative assumption that the magnetic field is ordered on all scales and the acceleration efficiency is $\eta \approx 1$. In this case, acceleration is escape limited. At energies $\gtrsim 10^{22}$ eV the cosmic ray primaries have to be heavy nuclei. In all the sources listed above the heavy nuclei are accelerated in the escape-limited regime, so that the attainable energy is roughly Z times more than for protons. However, the nuclei of such energy are fragmented through interaction with the microwave background photons after traveling a distance of less than 1 Mpc, which means they must be produced within the local group of galaxies, and GRBs would be the only possibility to do this. Although the nuclei are easily fragmented in the radiation-rich environments of GRBs, we have to conclude that formally primaries with energy up to $(2-3) \times 10^{22}$ eV can be produced within the framework of the acceleration scenario.

ACKNOWLEDGMENTS

This work was partially supported by the grant 00-15-96674 of the Russian Foundation for Basic Research.

-
- [1] J.W. Cronin, *Rev. Mod. Phys.* **71**, S165 (1999).
 - [2] M. Nagano and A.A. Watson, *Rev. Mod. Phys.* **72**, 689 (2000).
 - [3] K. Greisen, *Phys. Rev. Lett.* **16**, 748 (1966).
 - [4] G.T. Zatsepin and V.A. Kuzmin, *Pis'ma Zh. Éksp. Teor. Fiz.* **4**, 114 (1966) [*JETP Lett.* **4**, 78 (1966)].
 - [5] A.M. Hillas, *Annu. Rev. Astron. Astrophys.* **22**, 425 (1984).
 - [6] K. Greisen, in *Proceedings of the 9th International Cosmic Ray Conference* (Institute of Physics, London, 1965), Vol. 2, p. 609.
 - [7] V.S. Berezhinskii, S.V. Bulanov, V.A. Dogiel, and V.S. Ptuskin, in *Astrophysics of Cosmic Rays*, edited by V.L. Ginzburg (North-Holland, Amsterdam, 1990).
 - [8] A. Levinson, *Phys. Rev. Lett.* **85**, 912 (2000).
 - [9] P. Sreekumar *et al.*, *Astrophys. J.* **494**, 523 (1998).
 - [10] O.C. de Jager *et al.*, *Astrophys. J.* **457**, 253 (1996).
 - [11] E. Boldt and M. Loewenstein, *Mon. Not. R. Astron. Soc.* **316**, L29 (2000).
 - [12] M.-H. Ulrich, L. Maraschi, and C.M. Urry, *Annu. Rev. Astron. Astrophys.* **35**, 445 (1997).
 - [13] F.A. Aharonian, *New Astron.* **5**, 377 (2000).
 - [14] P.L. Biermann and P.A. Strittmatter, *Astrophys. J.* **322**, 643 (1987).
 - [15] C.A. Norman, D.B. Melrose, and A. Achterberg, *Astrophys. J.* **454**, 60 (1995).
 - [16] A. Levinson and D. Eichler, *Astrophys. J.* **418**, 386 (1993).
 - [17] E. Waxman, *Phys. Rev. Lett.* **75**, 386 (1995).
 - [18] M. Vietri, *Astrophys. J.* **453**, 883 (1995).
 - [19] T. Piran, *Phys. Rep.* **314**, 575 (1999).
 - [20] K. Hurley, *Nature (London)* **372**, 652 (1994).
 - [21] A. Achterberg, Y.A. Gallant, J.G. Kirk, and A.W. Guthmann, *Mon. Not. R. Astron. Soc.* **328**, 393 (2001).
 - [22] V. Berezhinsky, *Nucl. Phys. B (Proc. Suppl.)* **81**, 311 (2000).