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A NOTE ON THE SPAN OF TRANSLATIONS IN L^p

C. S. HERZ¹

Suppose $f \in L^1 \cap L^p$. f is said to have the Wiener closure property,² (C), if the translates of f span L^p . Since $f \in L^1$, the Fourier transform \hat{f} is well defined. Let $Z(f)$ be the set of zeros of \hat{f} . One would like to reformulate (C) in terms of structural properties of the closed set $Z(f)$. The problem seems quite difficult; in this note we show that (C) is nearly equivalent to a uniqueness property of $Z(f)$.³

It is assumed that the notion of the spectrum⁴ of a bounded continuous function is familiar.

DEFINITION. A closed set is of type U^q if the only bounded continuous function in L^q with spectrum contained in the set is the null function.⁵

We shall say that f has property (U) if $Z(f)$ is of type U^q where $1/p + 1/q = 1$. Pollard, [4], has observed, what is true for any locally compact Abelian group, that

THEOREM 1. For $1 \leq p < \infty$, (U) implies (C).

In the converse direction one has trivially,

THEOREM 2. For $2 \leq p < \infty$, (C) implies (U).

Of course, this also holds for $p = 1$. What is left open is the case $1 < p < 2$. Here we have two classes of results corresponding to weakening the conclusion and strengthening the hypothesis respectively.

DEFINITION. A closed set is of type U^{q*} if there is no nontrivial complex measure of bounded variation with spectrum (support) in the set whose Fourier-Stieltjes transform belongs to L^q .

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² For a general discussion of the problem see [6] of the bibliography. The reference is to Part III, §2.

³ This is the viewpoint of [4].

⁴ For an elaborate treatment of spectral theory see [3]; however [5] will be more accessible to the classical analyst. For assertions about the spectrum not proved in the text see these references.

⁵ The definition here is equivalent to that in [4]; the proof of equivalence is essentially the same as the proofs given there. Simple modifications of the method show that sets of uniqueness can be defined using any of a large variety of summability methods, including, when $q < \infty$, ordinary convergence of trigonometric integrals.

We shall say that f has property (U^*) if $Z(f)$ is of type U^{q*} where $1/p + 1/q = 1$.

THEOREM 2*. For $1 \leq p < \infty$, (C) implies (U^*) .

The only result which requires any imagination is the next. It should be noted that the proof makes only trivial use of the "natural" assumption, $f \in L^p$, but it depends strongly on the fact that $f \in L^1$.

THEOREM 3. If for some $\epsilon > 0$, $\hat{f} \in \text{Lip } \epsilon$, then (C) implies (U) .

We remark that the extra hypothesis is certainly fulfilled⁶ if $\int |f(x)| |x| dx < \infty$.

To prove the above theorems, first observe that (C) is equivalent to the statement: if $\phi \in L^q$ and the convolution $f * \phi = 0$, then $\phi = 0$. Let $g \in L^1$ be such that \hat{g} vanishes outside a compact set. If $f * \phi = 0$ then $f * (g * \phi) = 0$ while on the other hand, $\phi = 0$ if and only if $g * \phi = 0$ for each such g . Thus we may replace ϕ if necessary by $g * \phi$ and consider only bounded continuous functions $\phi \in L^q$ with compact spectrum $\Lambda(\phi)$. The defining property of the spectrum is that $f * \phi = 0$ implies $\Lambda(\phi) \subset Z(f)$; this proves Theorem 1. The propositions in the converse direction are argued by contradiction. We assume there exists some non-null $\phi \in L^q$ with $\Lambda(\phi) \subset Z(f)$ and wish to prove that $f * \phi = 0$, or something just as good. This is essentially a spectral synthesis problem, and as such it appears to require extra conditions. For example if ϕ is known to be a Fourier-Stieltjes transform, $\Lambda(\phi) \subset Z(f)$ implies $f * \phi = 0$; this establishes Theorem 2*. The observation that it suffices to consider ϕ 's with compact spectrum shows that for $1 \leq q \leq 2$, type U^q is identical with type U^{q*} since every $\phi \in L^q$ with compact spectrum is a Fourier transform. Theorem 2 is therefore an immediate corollary of Theorem 2*.

All the foregoing is valid for locally compact Abelian groups. However, for simplicity, we present the details of the proof of Theorem 3 only for the real line. The extension to the general case is clearly indicated in [3], (cf. the proof there of Lemma 4.4). Suppose $Z(f)$ is not of type U^q . Then there is a non-null $\phi \in L^q$ with compact spectrum $\Lambda(\phi) \subset Z(f)$. Let $f^{(n)}$ denote the convolution of f with itself n times. If we can show that $f^{(n)} * \phi = 0$ for some n we are through, for let n be the first integer for which this is true. If $n = 1$, fine! Otherwise $f^{(n-1)} * \phi$ is a non-null function in L^q with spectrum $\Lambda(f^{(n-1)} * \phi) \subset \Lambda(\phi) \subset Z(f)$ and $f * (f^{(n-1)} * \phi) = 0$. The Lipschitz condition is just what we need to guarantee the existence of an n so that $f^{(n)} * \phi = 0$.

Choose an $h > 0$ and set $k(x) = (x/2)^{-2} \sin^2 x/2$. Define $\Phi_h(t)$

⁶ Theorem 3 is supposed to compare favorably with Theorem B of [4].

$= (2\pi)^{-1} \int \exp(-itx) k(hx) \phi(x) dx$. Then Φ_h vanishes outside the set Λ^h consisting of those points at a distance $< h$ from $\Lambda(\phi)$. Moreover $f^{(n)} * \phi(x) = \int f^{(n)}(x-y) \phi(y) dy = \lim_{h \rightarrow 0} \int f^{(n)}(x-y) k(hy) \phi(y) dy = \lim_{h \rightarrow 0} \int \exp(itx) \hat{f}^n(t) \Phi_h(t) dt$. Hence it suffices to prove that $\int |\hat{f}^n(t) \Phi_h(t)| dt = o(1)$ as $h \rightarrow 0$. Now \hat{f} vanishes on $\Lambda(\phi)$ and $\hat{f} \in \text{Lip } \epsilon$. Hence if t is within h of $\Lambda(\phi)$, i.e., $t \in \Lambda^h$, $\hat{f}(t) = O(h^\epsilon)$. Since the integration is extended only over Λ^h , $\int |\hat{f}^n(t) \Phi_h(t)| dt = O(h^{n\epsilon}) \int |\Phi_h(t)| dt$. The last integral obviously is $O(h^{-\delta})$ for some δ (a careful estimate will be considered later) so choose $n > \delta/\epsilon$.

The question of the structure of sets of type U^q is quite open. Let T be a closed set and $|T|$ its Lebesgue measure. Obviously $|T| = 0$ is, in case $q \leq 2$ a sufficient, and in case $q \geq 2$ a necessary condition that T be of type U^q . Exact criteria are available for $q=1$ (T has empty interior), $q=2$ ($|T|=0$), and $q=\infty$ (T is empty). One would like to interpolate. The next theorem is a step in that direction which gives some content to Theorem 1. We consider r -tuple trigonometric series or integrals. Λ^h has the same meaning as in the paragraph above, and $\dim T$ is the Hausdorff dimension of T .

THEOREM 4. *Alternative sufficient conditions that the closed set T be of type U^q , $q \geq 2$ are*

- (i) $|\Lambda^h| = o(h^{r(1-2/q)})$ for each compact subset Λ of T ,
- (ii) $\dim T < 2r/q$, with the proviso, if $r > 2$, that $q \leq 2r/(r-2)$.

We shall give the proof of (i) only for ordinary trigonometric integrals. It suffices to show that if $\phi \in L^q$ is a bounded continuous function with compact spectrum $\Lambda(\phi) \subset T$ then $\phi = 0$. This will be true if, in the previous notation, $\int |\Phi_h(t)| dt = o(1)$ as $h \rightarrow 0$. Using the Schwarz inequality, $\{\int |\Phi_h(t)| dt\}^2 \leq |\Lambda^h| \cdot \int |\Phi_h(t)|^2 dt$. Next we employ the Parseval relation and the Hölder inequality.

$$\begin{aligned} \int |\Phi_h(t)|^2 dt &= \int |k(hx) \phi(x)|^2 dx \\ &\leq \left\{ \int |k(hx)^{2q/(q-2)} dx \right\}^{1-2/q} \cdot \left\{ \int |\phi(x)|^q dx \right\}^{2/q} \\ &= O(h^{-1+2/q}) \cdot O(1). \end{aligned}$$

Combining the estimates, $\{\int |\Phi_h(t)| dt\}^2 = |\Lambda^h| \cdot O(h^{-1+2/q}) = o(1)$ since $|\Lambda^h| = o(h^{1-2/q})$ by hypothesis. (ii) was proved by Beurling [1] for $r=1$ and extended by Deny [2, pp. 144-145].

The conditions of Theorem 4 are clearly unnecessary since an ordinary set of uniqueness is of type U^q for every q , $1 \leq q < \infty$. However the estimates cannot be improved.

In conclusion we mention one amusing problem for r -dimensional Euclidean space. Suppose $f \in L^p$ and vanishes outside a compact set. Then \hat{f} is an entire function of exponential type. For $r=1$, the translates of f span L^p for all p , $1 < p < \infty$, since $Z(f)$ is countable. However consideration of a few Bessel functions leads to the conclusion that for $r > 1$ the theorem is certainly false unless $p \geq 2r/(r+1)$. Is this a sufficient condition? Posing the problem otherwise, for what q is the set of real zeros of an entire function of exponential type in r -variables necessarily of type U^q ?

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